

Wilson's theorem for block designs

Talks given at LSBU June–August 2014

Tony Forbes

Steiner systems $S(2, k, v)$

For $k \geq 3$, a *Steiner system* $S(2, k, v)$ is usually defined as a pair (V, \mathcal{B}) , where V is a set of cardinality v of *points* and \mathcal{B} is a set of k -element subsets of V , usually called *blocks*, or *lines* if the system has some geometric significance, with the property that each pair of points is contained in precisely one block. For example, to construct a Steiner system $S(2, 3, 7)$ we may take $V = \{0, 1, 2, 3, 4, 5, 6\}$ and

$$\mathcal{B} = \{\{0, 1, 3\}, \{1, 2, 4\}, \{2, 3, 5\}, \{3, 4, 6\}, \{4, 5, 0\}, \{5, 6, 1\}, \{6, 0, 2\}\}.$$

In this example each line has three points and a point occurs at the intersection of three lines. This is the Fano plane, a finite projective plane of order 2.

We say that a positive integer v is *admissible* if $v(v-1) \equiv 0 \pmod{k(k-1)}$ and $v-1 \equiv 0 \pmod{k-1}$. A necessary condition for the existence of a Steiner system $S(2, k, v)$ is that v is admissible. This guarantees that the cardinality of the block set, $v(v-1)/(k(k-1))$, as well as the number of times a specific point occurs amongst the blocks, $(v-1)/(k-1)$, are both non-negative integers. Numbers of the form $v = k(k-1)x+1$ and $v = k(k-1)x+k$, $x \geq 0$, are always admissible, and if k is a prime power there are no others.

The *existence problem* for given k asks for which admissible v does there exist a Steiner system $S(2, k, v)$. Two *trivial* Steiner systems always exist, namely the $S(2, k, 1)$, with empty block set, and the $S(2, k, k)$, where there is a single block of size k . The existence problem is completely solved for $k = 3$ (Kirkman, 1847) and for $k = 4, 5$ (Hanani, 1961); Steiner systems $S(2, k, v)$ exist for $k = 3, 4, 5$ and all admissible v . For $k = 6, 7, 8, 9$, the existence problem is solved for all except a small number of admissible v ; see [2] for details. For prime power q , there are a few infinite classes of known designs (see [2] and [4] for details):

- (i) $S(2, q, q^n)$, affine geometries (affine planes when $n = 2$),
- (ii) $S(2, q, q^{2n+2} - q^{2n+1} + q)$, $q \geq 3$,
- (iii) $S(2, q+1, q^n + \dots + q + 1)$, projective geometries (projective planes if $n = 2$),
- (iv) $S(2, q+1, q^3 + 1)$, unital designs,
- (v) $S(2, q+1, q^{2n+1} + 1)$,
- (vi) $S(2, q+1, q^{2n+2} + q^{2n+1} + 1)$,
- (vii) $S(2, q+1, q^{2n+2} + q + 1)$,
- (viii) $S(2, 2^r, 2^{2r+1} - 2^r)$, $r \geq 2$, oval designs,
- (ix) $S(2, 2^r, 2^{r+s} + 2^r - 2^s)$, $s > r \geq 2$, Denniston designs.

The only known Steiner system with $k \geq 10$ and $(v-1)/(k-1) \leq 41$ apart from affine and projective planes is the oval/Denniston design $S(2, 16, 496)$ [4].

Admissibility is not always sufficient. Fisher's inequality asserts that a non-trivial $S(2, k, v)$ must have at least as many blocks as points, implying that $v \geq k(k-1) + 1$. Also we have the Bruck-Ryser theorem. *If a Steiner system $S(2, k, k^2)$ (affine plane of order k) exists and $k \equiv 1$ or $2 \pmod{4}$, then k is the sum of two integer squares.* Moreover, a Steiner system $S(2, k+1, k^2 + k + 1)$ (projective plane of order k) exists if and only if an affine plane of order k exists. Hence there is no $S(2, k, k^2)$ and no $S(2, k+1, k^2 + k + 1)$ for $k = 6, 14, 21, 22, 30, \dots$. Finally, there is no $S(2, 10, 100)$ and no $S(2, 11, 111)$ (Lam, Thiel & Swiercz, 1989), and there is no $S(2, 6, 42)$ (Houghten, Thiel, Janssen & Lam, 2001).

Let us look at the case $k = 10$. For non-prime-power k further residue classes modulo $k(k-1)$ are admissible, and indeed when $k = 10$ the admissible v are $90x + d$, $d =$

1, 10, 45, 55, $x \geq 0$. The trivial $S(2, 10, 1)$ and $S(2, 10, 10)$ exist. However $S(2, 10, 45)$ and $S(2, 10, 55)$ do not by Fisher's inequality. The next in the sequence is $S(2, 10, 91)$, the projective plane of order 9, which exists, followed by $S(2, 10, 100)$, the affine plane of order 10, which does not. Ignorance prevents me from saying anything about $S(2, 10, 135)$, $S(2, 10, 145)$ and many others with small v . Some designs appear in [1], and I have managed to produce examples of $S(2, 10, v)$ with $v = 1621, 2161, 2251, 2341$ (Zoe's design II), 2521, 2791, 2971, 3061, 3331, 3511 and 3691.

The *extremal existence problem* was solved by R. M. Wilson in 1975 [7]. *Given $k \geq 3$, for all sufficiently large admissible v , there exists a Steiner system $S(2, k, v)$.* And more recently the extremal existence problem was solved by Peter Keevash for more general designs. *Given $t \geq 2$ and $k > t$, for all sufficiently large admissible v , there exists a Steiner system $S(t, k, v)$.*

Although Wilson and Keevash appear to have killed the subject, one can nevertheless argue that there is still quite a lot of work to do, especially for $k \geq 10$ in the finite but vast range from $v = 1$ to 'sufficiently large'. So I suggest that the *concrete existence problem* might have some interest: *given suitable k , exhibit a non-trivial Steiner system $S(2, k, v)$, or more generally, given suitable t and k , exhibit a non-trivial Steiner system $S(t, k, v)$.* As far as I am aware, even for $S(2, k, v)$ designs this problem has not been solved for large k where neither k nor $k - 1$ is a prime power. I have found Steiner systems $S(2, 15, 243391)$, $S(2, 21, 2031451)$ and $S(2, 22, 4578883)$ but I do not know of a single example of a non-trivial $S(2, 100, v)$, say.

A *cyclic* Steiner system $S(2, k, v)$ is one where the block set is invariant under the mapping $x \mapsto x + 1 \pmod{v}$. The $S(2, 3, 7)$ is cyclic. We may take the single *starter block* $\{0, 1, 3\}$ and generate the entire block set by repeated application of $x \mapsto x + 1 \pmod{7}$. Similarly, the 57 blocks of a cyclic $S(2, 3, 19)$ are obtained from the three starter blocks

$$\{1, 3, 9\}, \quad \{8, 5, 15\}, \quad \{7, 2, 6\}$$

under the action of the mapping $x \mapsto x + 1 \pmod{19}$. A cyclic Steiner system $S(2, k, v)$ exists if the differences generated by the starter blocks cover all non-zero elements of \mathbb{Z}_v . You can confirm that the differences generated by the starter blocks of the $S(2, 3, 19)$, namely

$$\{\pm 2, \pm 6, \pm 8\}, \quad \{\pm 3, \pm 9, \pm 7\}, \quad \{\pm 5, \pm 4, \pm 1\},$$

are indeed all distinct and non-zero modulo 19. In general a cyclic Steiner system of the form $S(2, k, k(k - 1)t + 1)$ requires t starter blocks.

A simple form of Wilson's theorem

Theorem 1 *Given $k \geq 3$, for all sufficiently large t , there exists a Steiner system $S(2, k, v)$ whenever $v = k(k - 1)t + 1$ is prime.*

Proof Suppose $k \geq 3$, $t \geq 1$ and $v = k(k - 1)t + 1$ is prime. Let ρ be a primitive root modulo v and for $x \not\equiv 0 \pmod{v}$ define $\log x$ as the unique number ϕ , $0 \leq \phi \leq v - 2$, such that $x = \rho^\phi$. Henceforth let us agree to do arithmetic modulo v on the elements of \mathbb{Z}_v and modulo $v - 1$ on their logarithms.

Write $K = k(k - 1)/2$ and let $w = \rho^K$. Then $w^t = -1$ and $w^h \neq \pm 1$ for $0 < h \leq t - 1$. Let

$$B = \{1, a, a^2, \dots, a^{k-1}\}$$

and we shall attempt to find a such that $\{w^h B : 0 \leq h \leq t - 1\}$ form the t starter blocks of a cyclic Steiner design $S(2, k, v)$. For this to happen the set of differences

$$D = \{(-1)^\epsilon w^h (a^s - a^r) : \epsilon = 0, 1, 0 \leq h \leq t - 1, 0 \leq r < s \leq k - 1\}$$

must cover the non-zero residues modulo v . Observe that there are $K = k(k-1)/2$ choices for (r, s) , t choices for h and two choices for ϵ , making a total of $2Kt = v - 1$. Therefore the elements of D as described must be distinct modulo v . Taking logs gives

$$\Delta = \{\epsilon Kt + Kh + \log(a^s - a^r) : \epsilon = 0, 1, 0 \leq h \leq t - 1, 0 \leq r < s \leq k - 1\}$$

since $-1 = \rho^{Kt}$. We now require Δ to cover all residues modulo $v - 1 = 2Kt$. But

$$\{K(\epsilon t + h) : \epsilon = 0, 1, 0 \leq h \leq t - 1\}$$

covers all multiples of K and is independent of a . Therefore it suffices to choose a such that the K numbers

$$\Delta_{r,s} = \log(a^s - a^r), \quad 0 \leq r < s \leq k - 1,$$

cover the residue classes modulo K . This construction really does work sometimes for large k and small v . For instance, $a = 16662$ and multiplier $w = 118347$ give the Steiner system $S(2, 15, 243391)$, where $t = 1159$, $\rho = 3$ and $a^k = 1$. Note that any number of the form w^j with $\gcd(j, 2t) = 1$ can be used as the multiplier, the smallest one for this design being $234 = 118347^{1017}$.

Let $\Phi_n(x)$ denote the n -th cyclotomic polynomial, so that

$$\Phi_1(x) = x - 1, \quad \Phi_2(x) = x + 1, \quad \Phi_3(x) = x^2 + x + 1, \quad \Phi_4(x) = x^2 + 1, \quad \dots$$

Then, recalling that $x^n - 1 = \prod_{d|n} \Phi_d(x)$,

$$\Delta_{r,s} = \log(a^s - a^r) = r \log a + \sum_{d|s-r} \log \Phi_d(a).$$

By choosing suitable values modulo K for $\log a$ and $\log \Phi_d(a)$ we can ensure that the K values of $\Delta_{r,s}$, $0 \leq r < s \leq k - 1$, cover the residues modulo K . For this purpose we define

$$\gamma_n = (n - 1)k - \frac{n(n - 1)}{2} - \sum_{d|n, 1 < d < n} \gamma_d.$$

Observe that if

$$\log a \equiv \alpha, \quad \gcd(\alpha, K) = 1, \quad \text{and} \quad \log \Phi_n(a) \equiv \alpha \gamma_n, \quad n = 2, 3, \dots, k - 1, \quad (\text{mod } K) \quad (1)$$

then

$$\Delta_{r,s} \equiv \log(a - 1) + \alpha r + \alpha \sum_{d|s-r, d > 1} \gamma_d, \quad 0 \leq r < s \leq k - 1 \quad (\text{mod } K)$$

will indeed have the desired property. For example, with $k = 6$ we have $\gamma_2 = 5$, $\gamma_3 = 9$, $\gamma_4 = 7$, $\gamma_5 = 14$, and we can compute $\Delta_{r,s}$ as in the following table.

r	s	$\Delta_{r,s} - \log(a - 1)$	r	s	$\Delta_{r,s} - \log(a - 1)$	r	s	$\Delta_{r,s} - \log(a - 1)$
0	1	0	1	2	α	2	4	$\alpha(2 + \gamma_2) = 7\alpha$
0	2	$\alpha\gamma_2 = 5\alpha$	1	3	$\alpha(1 + \gamma_2) = 6\alpha$	2	5	$\alpha(2 + \gamma_3) = 11\alpha$
0	3	$\alpha\gamma_3 = 9\alpha$	1	4	$\alpha(1 + \gamma_3) = 10\alpha$	3	4	3α
0	4	$\alpha(\gamma_2 + \gamma_4) = 12\alpha$	1	5	$\alpha(1 + \gamma_2 + \gamma_4) = 13\alpha$	3	5	$\alpha(3 + \gamma_2) = 8\alpha$
0	5	$\alpha\gamma_5 = 14\alpha$	2	3	2α	4	5	4α

So it suffices to find a such that (1) holds with $\alpha = 1$, say. Define a multiplicative character of order K modulo v by $\chi(x) = \exp(2\pi i/K \log(x))$. Define $\chi(0) = 0$. Define the polynomials

$$f_1(x) = x\rho^{-1}, \quad f_n(x) = \Phi_n(x)\rho^{-\gamma_n}, \quad n = 2, 3, \dots, k-1.$$

We now want to show that there exists a such that

$$\chi(f_n(a)) = 1 \text{ for } 1 \leq n \leq k-1, \quad (2)$$

for then we will have $\log f_n(a) \equiv 0 \pmod{K}$, $n = 1, 2, \dots, k-1$. Let

$$\pi(x) = \prod_{n=1}^{k-1} \sum_{i_n=0}^{K-1} \chi(f_n(x)^{i_n}) = \left(\sum_{i_1=0}^{K-1} \chi(f_1(x)^{i_1}) \right) \dots \left(\sum_{i_{k-1}=0}^{K-1} \chi(f_{k-1}(x)^{i_{k-1}}) \right).$$

If (2) holds then $\pi(a) = K^{k-1}$. On the other hand, if $\chi(f_n(a)) \neq 1$ for some n , then $\pi(a) = 0$ except possibly for those a corresponding to roots of $f_n(x)$. Here we are using the fact that

$$1 + \chi(z) + \chi(z)^2 + \dots + \chi(z)^{K-1} = \begin{cases} 1 & \text{if } \chi(z) = 0, \\ K & \text{if } \chi(z) = 1, \\ 0 & \text{if } \chi(z) \neq 0, 1. \end{cases}$$

So we have reduced our task to showing that there exists a such that $\pi(a) \neq 0$ and a avoids the roots of the polynomials $f_n(x)$. Put

$$\Sigma = \sum_{x=0}^{v-1} \pi(x)$$

and observe that

$$\pi(x) = 1 + \sum_{\substack{i_1=0 \\ i_1, i_2, \dots, i_{k-1} \text{ not all zero}}}^{K-1} \sum_{i_2=0}^{K-1} \dots \sum_{i_{k-1}=0}^{K-1} \prod_{n=1}^{k-1} \chi(f_n(x)^{i_n}),$$

as can be seen by multiplying out the expression for $\pi(x)$ and splitting off the term where all the exponents of the $f_n(x)$ are zero. Thus

$$\begin{aligned} \Sigma &= \sum_{x=0}^{v-1} \left(1 + \sum_{\substack{i_1=0 \\ i_1, i_2, \dots, i_{k-1} \text{ not all zero}}}^{K-1} \sum_{i_2=0}^{K-1} \dots \sum_{i_{k-1}=0}^{K-1} \prod_{n=1}^{k-1} \chi(f_n(x)^{i_n}) \right) \\ &= v + \sum_{\substack{i_1=0 \\ i_1, i_2, \dots, i_{k-1} \text{ not all zero}}}^{K-1} \sum_{i_2=0}^{K-1} \dots \sum_{i_{k-1}=0}^{K-1} \sum_{x=0}^{v-1} \chi \left(\prod_{n=1}^{k-1} f_n(x)^{i_n} \right). \end{aligned}$$

Now if $m > n \geq 1$ and v divides neither m nor n , then $\gcd(\Phi_m(x), \Phi_n(x)) = 1$ in $\mathbb{Z}_v[x]$ (see, for example [5, Theorem 8.2.2]). Moreover, if v does not divide n , $x^n - 1$ has no repeated roots in $\mathbb{Z}_v[x]$ ([5, Corollary 8.2.1]). Therefore, provided v is not too small, none of the polynomials $\prod_{n=1}^{k-1} f_n(x)^{i_n}$ can be a constant multiple of a K -th power modulo v . So it follows from Weil's theorem [6, Theorem 2C] that

$$\sum_{x=0}^{v-1} \chi \left(\prod_{n=1}^{k-1} f_n(x)^{i_n} \right) = O(\sqrt{v}).$$

Hence we have $\Sigma > v - O(\sqrt{v})$ and therefore, since the number of roots of the polynomials $f_n(x)$ is bounded as $v \rightarrow \infty$, for sufficiently large v there exists a such that $\pi(a) \neq 0$ and $f_n(a) \neq 0$ for $n = 1, 2, \dots, k - 1$. \square

Alternatively, if t is odd, we can put $w = \rho^{2K}$. The set of logarithms of differences becomes

$$\Delta' = \{\epsilon Kt + 2Kh + \log(a^s - a^r) : \epsilon = 0, 1, 0 \leq h \leq t - 1, 0 \leq r < s \leq k - 1\}$$

in which $\{\epsilon t + 2h : \epsilon = 0, 1, 0 \leq h \leq t - 1\}$ covers the multiples of K modulo $v - 1$, and the proof proceeds as before. For instance, multipliers $77314 = 3^{210}$ and $305 = 77314^{313}$ also work with $a = 16662$ to create a Steiner system $S(2, 15, 243391)$.

It would not be surprising if there is no realistic prospect of finding a multiplier system $S(2, k, k(k - 1)t + 1)$ with large k and base block $\{1, a, \dots, a^{k-1}\}$ where the somewhat restrictive condition (1) actually holds. The value $a = 16662$ used as above for constructing an $S(2, 15, 243391)$ does not satisfy (1). On the other hand, $a = 107466$ with $\rho = 17$ for $S(2, 7, 173293)$ and $a = 118008$ with $\rho = 5$ for $S(2, 8, 1287553)$ do.

The proof should work also for powers of sufficiently large primes using appropriate Galois fields. Or one can use the product construction: given Steiner systems $S(2, k, v)$ and $S(2, k, w)$ as well as $k - 2$ MOLES of side v , there exists a Steiner system $S(2, k, vw)$.

References

- [1] R. J. R. Abel, Some New BIBDs with $\lambda = 1$ and $6 \leq k \leq 10$, *J. Combin. Designs* **4** No. 1 (1996), 27–50.
- [2] P. Adams, D.E. Bryant and M. Buchanan, A survey on the existence of G -designs, *J. Combin. Des.* **16** (2008), 373–410.
- [3] I. Anderson, *Combinatorial Designs and Tournaments*, Oxford, 1997.
- [4] C. J. Colbourn & J. Dinitz, *Handbook of Combinatorial Designs*, Boca Raton, 2007.
- [5] P. Garrett, *Abstract Algebra*, CRC Press LLC, 2007.
- [6] W. M. Schmidt, *Equations over Finite Fields, An Elementary Approach*, Springer–Verlag, Lecture Notes in Mathematics 536, Berlin, 1976. *Proc. British Combinatorial Conf.* 1975, 647–659.
- [7] R. M. Wilson, Decompositions of complete graphs into subgraphs isomorphic to a given graph, *Proc. British Combinatorial Conf.* 1975, 647–659.