

# On cliques in edge-regular graphs

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*Dedicated to the memory of Ákos Seress*

## Abstract

Let  $\Gamma$  be an edge-regular graph with given parameters  $(v, k, \lambda)$ . We show how to apply a certain “block intersection polynomial” in two variables to determine a good upper bound on the clique number of  $\Gamma$ , and to obtain further information concerning the cliques  $S$  of  $\Gamma$  with the property that every vertex of  $\Gamma$  not in  $S$  is adjacent to exactly  $m$  or  $m + 1$  vertices of  $S$ , for some constant  $m \geq 0$ . Some interesting examples are studied using computation with groups and graphs.

[Keywords: Edge-regular graph; Orbital graph; Strongly regular graph; Clique; Maximum clique; Regular clique; Quasiregular clique; Delsarte bound; Hoffman bound; Partial geometry]

# 1 Introduction

In this paper we present new results concerning the cliques in an edge-regular graph  $\Gamma$  with given parameters. We show how to apply a certain “block intersection polynomial” [5, 12] to determine a good upper bound on the clique number of  $\Gamma$ , and to obtain information on the cliques  $S$  of  $\Gamma$  with the property that every vertex of  $\Gamma$  not in  $S$  is adjacent to exactly  $m$  or  $m + 1$  vertices of  $S$ , for some constant  $m \geq 0$ .

Every orbital graph for a finite transitive permutation group is edge-regular, and we study some informative examples of orbital graphs using the permutation group functionality in GAP [8], to which Ákos Seress was a major contributor, together with the GAP package GRAPE [13] for computing with graphs with groups acting on them.

## 2 Definitions and background

All graphs in this paper are finite and undirected, with no loops and no multiple edges. A graph  $\Gamma$  is *edge-regular* with *parameters*  $(v, k, \lambda)$  if  $\Gamma$  has exactly  $v$  vertices, is regular of valency  $k$ , and every pair of adjacent vertices have exactly  $\lambda$  common neighbours. An *orbital graph* for a transitive permutation group  $G$  on a finite set  $\Omega$  is a graph with vertex set  $\Omega$  and edge set the  $G$ -orbit of some unordered pair  $\{\alpha, \beta\}$  of distinct vertices, such that  $\alpha$  and  $\beta$  are interchanged by some element of  $G$ . Such orbital graphs are edge-regular, and provide us with interesting examples. A graph  $\Gamma$  is *strongly regular* with *parameters*  $(v, k, \lambda, \mu)$  if  $\Gamma$  is edge-regular with parameters  $(v, k, \lambda)$ , and every pair of distinct nonadjacent vertices have exactly  $\mu$  common neighbours. A *clique* in a graph  $\Gamma$  is a set of pairwise adjacent vertices, an *s-clique* is a clique of size  $s$ , and a *maximum clique* of  $\Gamma$  is a clique of the largest size in  $\Gamma$ . The size of a maximum clique in  $\Gamma$ , its *clique number*, is denoted by  $\omega(\Gamma)$ . The set of vertices adjacent to a vertex  $v$  in a graph  $\Gamma$  is denoted by  $\Gamma(v)$ .

For  $n \geq k > 0$ , the *Kneser graph*  $K(n, k)$  has as vertices the  $k$ -subsets of  $\{1, \dots, n\}$ , with two vertices adjacent precisely when they are disjoint. For example,  $K(5, 2)$  is the Petersen graph. Observe that  $K(n, k)$  is edge-regular, with parameters  $\left(\binom{n}{k}, \binom{n-k}{k}, \binom{n-2k}{k}\right)$ .

A *regular clique*, or more specifically, an *m-regular clique* in a graph  $\Gamma$  is a clique  $S$  such that every vertex of  $\Gamma$  not in  $S$  is adjacent to exactly  $m$

vertices of  $S$ , for some constant  $m > 0$ . For example, if  $n \geq 3$ , then each maximum clique of  $K(2n, 2)$  is  $(n - 2)$ -regular. A *quasiregular clique*, or more specifically, an  *$m$ -quasiregular clique* in a graph  $\Gamma$  is a clique  $S$  of size at least 2, such that every vertex of  $\Gamma$  not in  $S$  is adjacent to exactly  $m$  or  $m+1$  vertices of  $S$ , for some constant  $m \geq 0$ . For example, if  $n \geq 3$ , then each maximum clique of  $K(2n - 1, 2)$  is  $(n - 3)$ -quasiregular, and each maximum clique of  $K(3n, 3)$  is  $(n - 3)$ -quasiregular. Note that a clique  $S$  of size at least 2 in a graph is  $m$ -regular precisely when  $S$  is both  $(m - 1)$ -quasiregular and  $m$ -quasiregular.

Suppose that  $\Gamma$  is a strongly regular graph of valency  $k > 0$  and having least eigenvalue  $\sigma$  (the eigenvalues of (the adjacency matrix of)  $\Gamma$  are determined by its parameters). In his famous thesis, Delsarte [6] proved that

$$\omega(\Gamma) \leq \lfloor 1 - k/\sigma \rfloor. \quad (1)$$

Moreover, if  $\Gamma$  is connected and not complete, then a clique  $S$  of  $\Gamma$  is regular if and only if  $|S| = 1 - k/\sigma$  (see [4, Proposition 1.3.2(ii)]).

Delsarte's bound (1) was later generalised by A.J. Hoffman in a way that applies to regular graphs in general, but as in [9], we shall refer to the bound as the Delsarte bound when applied to strongly regular graphs. In the last section of this paper, we shall discuss an upper bound, proposed in [12], on the clique number of an edge-regular graph with given parameters  $(v, k, \lambda)$ , which is sometimes strictly better than Delsarte's bound for a strongly regular graph with parameters  $(v, k, \lambda, \mu)$  (when such parameters are "feasible" [3]). In 1981, Neumaier [10] studied regular cliques in edge-regular graphs and proved the following (amongst other results):

**Theorem 2.1.** *Suppose  $\Gamma$  is a non-complete edge-regular graph having an  $m$ -regular  $s$ -clique. Then:*

1.  $\omega(\Gamma) = s$ ;
2. *all regular cliques in  $\Gamma$  are  $m$ -regular cliques;*
3. *the regular cliques in  $\Gamma$  are precisely the cliques of size  $s$ .*

Neumaier pointed out that this result does not hold in general for regular graphs, but also that it is unknown whether an edge-regular graph which is not strongly regular can have a regular clique. Indeed, Neumaier [10] showed

that if a vertex- and edge-transitive graph (such as an orbital graph) contains a regular clique then that graph must be strongly regular.

We shall study the situation of quasiregular cliques in edge-regular graphs, and in particular, generalise Neumaier's result above to apply to quasiregular cliques. This generalisation appears to be natural for edge-regular graphs that are not necessarily strongly regular, but does not hold for regular graphs in general.

### 3 The clique adjacency polynomial

We now describe our main tool.

The *clique adjacency polynomial* of an edge-regular graph  $\Gamma$  with parameters  $(v, k, \lambda)$  is:

$$C_\Gamma(x, y) = C_{v,k,\lambda}(x, y) := x(x+1)(v-y) - 2xy(k-y+1) + y(y-1)(\lambda-y+2).$$

This is a special case of a block intersection polynomial [5, 12], and we shall be applying the following result, which follows from Theorem 3.2 of [5] and Theorem 1.1 of [12].

**Theorem 3.1.** *Let  $\Gamma$  be an edge-regular graph with parameters  $(v, k, \lambda)$ , and suppose  $\Gamma$  has an  $s$ -clique  $S$ , with  $s \geq 2$ . Then:*

1.  $C_\Gamma(x, s) = \sum_{i=0}^s (i-x)(i-x-1)n_i$ , where  $n_i$  is the number of vertices of  $\Gamma$  not in  $S$  adjacent to exactly  $i$  vertices in  $S$ ;
2.  $C_\Gamma(m, s) \geq 0$  for every integer  $m$ ;
3. if  $m$  is a non-negative integer then  $C_\Gamma(m, s) = 0$  if and only if  $S$  is  $m$ -quasiregular, in which case the number of vertices outside  $S$  adjacent to exactly  $m$  vertices in  $S$  is  $C_\Gamma(m+1, s)/2$ ;
4. if  $m$  is a positive integer then  $C_\Gamma(m-1, s) = C_\Gamma(m, s) = 0$  if and only if  $S$  is  $m$ -regular.

For example, let  $\Gamma$  be the orbital graph of valency 240 for the primitive action of the Mathieu group  $M_{24}$  of degree 1771. Then  $\Gamma$  is an edge-regular (but not strongly regular) graph with parameters  $(1771, 240, 38)$  (see [11]), and

$$C_\Gamma(x, y) = -y^3 + 2y^2x + 41y^2 - yx^2 - 483yx - 40y + 1771x^2 + 1771x.$$

We find that  $C_\Gamma(2, 21) = 0$  and  $C_\Gamma(3, 21)/2 = 630$ . Thus each 21-clique  $S$  of  $\Gamma$  is 2-quasiregular, with just 630 vertices of  $\Gamma$  outside  $S$  adjacent to exactly 2 vertices of  $S$ . We used the primitive groups library in **GAP** and the **GRAPE** package to construct  $\Gamma$  and to determine that  $\Gamma$  does indeed have a 21-clique.

## 4 $(s - 1)$ -quasiregular $s$ -cliques

We now consider the edge-regular graphs having an  $m$ -quasiregular  $s$ -clique with  $m = s$  or  $m = s - 1$ .

Let  $\Gamma$  be an edge-regular graph with at least one edge. Neumaier [10] proved that  $\Gamma$  has an  $s$ -regular  $s$ -clique if and only if  $\Gamma$  is a complete graph, and  $\Gamma$  has an  $(s - 1)$ -regular  $s$ -clique if and only if  $\Gamma$  is a complete multipartite graph with exactly  $s$  parts, all of the same size. Now an  $s$ -quasiregular  $s$ -clique is  $s$ -regular, so it remains to examine the case of an edge-regular graph having an  $(s - 1)$ -quasiregular  $s$ -clique. We have the following:

**Theorem 4.1.** *Let  $\Gamma$  be an edge-regular graph with parameters  $(v, k, \lambda)$ , with  $k > 0$ . The following are equivalent:*

1.  $\Gamma$  is complete multipartite;
2.  $v = 2k - \lambda$ ;
3. every  $s$ -clique of  $\Gamma$  with  $s \geq 2$  is  $(s - 1)$ -quasiregular;
4. some  $s$ -clique of  $\Gamma$  with  $s \geq 2$  is  $(s - 1)$ -quasiregular.

*Proof.* (1  $\implies$  2) Straightforward.

(2  $\implies$  3) An easy direct calculation shows that  $C_{2k-\lambda, k, \lambda}(s - 1, s) = 0$  for all real numbers  $s$ . Hence, by Theorem 3.1, part 3, every  $s$ -clique of  $\Gamma$  with  $s \geq 2$  is  $(s - 1)$ -quasiregular.

(3  $\implies$  4) Trivial.

(4  $\implies$  1) For this we use an argument similar to that used by Neumaier to prove that if  $\Gamma$  has an  $(s - 1)$ -regular  $s$ -clique then  $\Gamma$  must be complete multipartite.

Suppose  $s \geq 2$  and that  $\Gamma$  has an  $(s - 1)$ -quasiregular  $s$ -clique  $S$ . Then every vertex not in  $S$  is nonadjacent to at most one vertex in  $S$ . Thus, if  $x$  and

$y$  are distinct vertices of  $S$  then  $\{x, y\}$  is an edge of  $\Gamma$  with the property that every vertex  $z$  is adjacent to at least one of  $x$  and  $y$ . In particular,  $\{x, y\}$  is a 1-quasiregular clique, so  $C_\Gamma(1, 2) = 0$  and so every edge of  $\Gamma$  is 1-quasiregular. Now define a relation  $\equiv$  on the vertex-set of  $\Gamma$  by  $v \equiv w$  if and only if  $v = w$  or  $v$  and  $w$  are not adjacent. This relation is obviously reflexive and symmetric, and it is also transitive for if  $v \equiv x$  and  $x \equiv w$ , then we cannot have  $\{v, w\}$  being an edge, since  $x = v$  or  $x = w$  or  $x$  is non-adjacent to both  $v$  and  $w$ . Thus  $\equiv$  is an equivalence relation, which shows that  $\Gamma$  is complete multipartite, the parts being the equivalence classes of  $\equiv$  (and since  $\Gamma$  is regular of valency  $k$ , each part must have size  $v - k$ ).  $\square$

## 5 Quasiregular cliques in edge-regular graphs that are not complete multipartite

We now generalise Theorem 2.1 of Neumaier.

**Theorem 5.1.** *Suppose  $\Gamma$  is an edge-regular graph, not complete multipartite, which has an  $m$ -quasiregular  $s$ -clique. Then for all edge-regular graphs  $\Delta$  with the same parameters  $(v, k, \lambda)$  as  $\Gamma$ :*

1.  $\omega(\Delta) \leq s$ , so in particular,  $\omega(\Gamma) = s$ ;
2. all quasiregular cliques in  $\Delta$  are  $m$ -quasiregular cliques;
3. the quasiregular cliques in  $\Delta$  are precisely the cliques of size  $s$  (although  $\Delta$  may have no cliques of size  $s$ ).

*Proof.* Let  $\Delta$  be an edge-regular graph with parameters  $(v, k, \lambda)$ , and let  $C(x, y) = C_{v, k, \lambda}(x, y)$ . Then  $C(x, y) = C_\Gamma(x, y) = C_\Delta(x, y)$ . By Theorem 3.1, part 3, since  $\Gamma$  has an  $m$ -quasiregular  $s$ -clique,  $C(m, s) = 0$ , so every  $s$ -clique of  $\Delta$  is  $m$ -quasiregular.

Now suppose  $\Delta$  has a clique  $L$  of size greater than  $s$ , and let  $K$  be a subset of  $L$  of size  $s$ . Then  $K$  is an  $s$ -clique of  $\Delta$ , and so  $m$ -quasiregular, so every vertex outside  $K$  is adjacent to  $m$  or  $m + 1$  vertices in  $K$ . However, this contradicts the fact that there is a vertex in  $L \setminus K$  adjacent to all  $s$  vertices of  $K$ , since by Theorem 4.1,  $m + 1 < s$  since  $\Gamma$  is not complete multipartite. Hence  $\omega(\Delta) \leq s$ . This proves part 1.

By part 1, each quasiregular clique of  $\Gamma$  is a maximum clique, which has size  $s$ . Thus, if  $t$  is an integer with  $2 \leq t \leq s$  and  $n$  is a non-negative integer, then  $C(n, t) = 0$  only if  $t = s$ , which shows that each quasiregular clique in  $\Delta$  must have size  $s$ , and we have already pointed out that each  $s$ -clique in  $\Delta$  is  $m$ -quasiregular. This completes the proof of parts 2 and 3.  $\square$

We remark that this result does not hold if in the definition of an  $m$ -quasiregular clique “ $m$  or  $m + 1$ ” is replaced by “ $m$  or  $m + 2$ ”. For example, consider the complement  $\Delta$  of  $K(5, 2)$ . Now  $\Delta$  is strongly regular, with parameters  $(10, 6, 3, 4)$ , has a (maximal) 3-clique  $K = \{\{1, 2\}, \{1, 3\}, \{2, 3\}\}$ , such that every vertex not in  $K$  is adjacent to exactly 0 or 2 vertices in  $K$ , but  $\Delta$  also has a (non-maximal) 3-clique  $L = \{\{1, 2\}, \{1, 3\}, \{1, 4\}\}$ , such that every vertex not in  $L$  is adjacent to exactly 1, 2 or 3 vertices in  $L$ .

Furthermore, there are regular, but not edge-regular graphs having non-maximum quasiregular cliques. For example, consider the edge-union of the orbital graphs of valencies 5 and 10 for the primitive action of  $\text{P}\Gamma\text{L}(2, 9)$  on 36 points. This is a regular, but not edge-regular, graph of valency 15, with clique number 6, but it has a 1-quasiregular maximal (but not maximum) 4-clique.

## 6 On spreads of regular cliques in edge-regular graphs

A *spread* in a graph is set of cliques forming a partition of the vertex set.

**Theorem 6.1.** *Let  $\Gamma$  be an edge-regular graph with parameters  $(v, k, \lambda)$ . Suppose  $\Sigma$  is a spread of  $m$ -regular  $s$ -cliques of  $\Gamma$ , with  $s \geq 2$ , and let  $\Delta$  be the graph obtained from  $\Gamma$  by removing the edges (but not the vertices) in the cliques in  $\Sigma$ . Then:*

1.  $\Delta$  is edge-regular, with parameters  $(v, k - s + 1, \lambda - 2m + 2)$ ;
2. each  $s$ -clique of  $\Delta$  is  $(m - 1)$ -quasiregular (although  $\Delta$  may not have an  $s$ -clique);
3. if  $C_{\Delta}(m - 1, s) \neq 0$  then  $\omega(\Delta) < s$ ;

4. each  $s$ -clique of  $\Delta$  is  $(m-1)$ -regular if and only if  $m \geq 2$  and  $C_\Delta(m-2, s) = 0$ .

*Proof.* Let  $v$  be a vertex of  $\Gamma$ , and let  $v^*$  denote the set of vertices of  $\Gamma$ , other than  $v$ , contained in the unique clique in  $\Sigma$  containing  $v$ . Then, in  $\Delta$ ,  $\Delta(v) = \Gamma(v) \setminus v^*$ , and since  $v^* \subseteq \Gamma(v)$ , we have that

$$|\Delta(v)| = |\Gamma(v)| - |v^*| = k - (s - 1).$$

Now suppose  $\{v, w\}$  is an edge of  $\Delta$ . Then  $v$  and  $w$  are in different parts of the spread  $\Sigma$  of  $m$ -regular cliques of  $\Gamma$ , and so  $|\Gamma(v) \cap \Gamma(w) \cap v^*| = |\Gamma(w) \cap v^*| = m - 1$ . Similarly,  $|\Gamma(v) \cap \Gamma(w) \cap w^*| = m - 1$ . Now  $v^*$  and  $w^*$  are disjoint, and we conclude that

$$|\Delta(v) \cap \Delta(w)| = |(\Gamma(v) \cap \Gamma(w)) \setminus (v^* \cup w^*)| = \lambda - 2(m - 1).$$

Hence  $\Delta$  is edge-regular, with parameters  $(v, k - s + 1, \lambda - 2m + 2)$ .

Suppose that  $S$  is an  $s$ -clique of  $\Delta$ , let  $v$  be a vertex of  $\Delta$  not in  $S$ , and let  $T = \Gamma(v) \cap S$ . Then  $|T| = m$ . Now suppose there are two distinct vertices  $a, b \in T$ , but  $a, b \notin \Delta(v)$ . Then  $\{v, a, b\}$  is contained in the clique in  $\Sigma$  containing  $v$ , and so  $\{a, b\}$  cannot be an edge of  $\Delta$ , contradicting the fact that  $a$  and  $b$  are distinct vertices in  $S$ . Hence  $|\Delta(v) \cap S| \in \{m - 1, m\}$ , so  $S$  is an  $(m - 1)$ -quasiregular clique of  $\Delta$ .

Suppose  $\Delta$  has an  $s$ -clique  $S$ . Then  $S$  is  $(m - 1)$ -quasiregular, and so by Theorem 3.1, part 3,  $C_\Delta(m - 1, s) = 0$ . Hence, if  $C_\Delta(m - 1, s) \neq 0$  then  $\omega(\Delta) < s$ .

Finally, an  $(m - 1)$ -quasiregular  $s$ -clique of  $\Delta$  is  $(m - 1)$ -regular if and only if the clique is also  $(m - 2)$ -quasiregular, which is the case if and only if  $m \geq 2$  and  $C_\Delta(m - 2, s) = 0$ .  $\square$

This result is inspired by Haemers and Tonchev [9], who studied the existence and properties of spreads of regular cliques in strongly regular graphs. Many of these come from spreads of lines in partial geometries. A *partial geometry* is a  $1-(v, k, r)$  design (with  $k, r > 1$ ), whose blocks are called *lines*, such that every pair of distinct lines intersect in at most one point and for every line  $L$  and every point  $p$  not on  $L$ , there are exactly  $\alpha$  lines containing  $p$  and intersecting  $L$  in a point, for some positive constant  $\alpha$ . The *point graph* of a 1-design has the points of the design as vertices, with two distinct points

joined by an edge precisely when they are on a common block. The study of partial geometries was initiated by Bose [2], where amongst much else he showed that the point graph  $\Gamma$  of a partial geometry  $\mathcal{G}$  is strongly regular. Such a point graph has a regular clique (consisting of the points on a line in  $\mathcal{G}$ ), and if  $\mathcal{G}$  has a spread of lines (that is, a set of lines forming a partition of the point set), then this spread does not contain all the lines in  $\mathcal{G}$ , and each line not in the spread meets each line in the spread in at most one point. Hence, by Theorem 6.1, the point graph  $\Delta$  of the 1-design  $\mathcal{H}$  obtained from  $\mathcal{G}$  by removing a spread of lines is edge-regular and contains quasiregular cliques. Furthermore, we believe that  $\mathcal{H}$  should have very good statistical efficiency properties (see [1]).

We remark that a strongly regular graph  $\Gamma$  may have regular cliques, but no spread consisting of such cliques (for example, when  $\Gamma$  is the complement of  $K(2n, 2)$  with  $n > 2$ ), and even if it does have such a spread, the removal of the edges in the cliques of this spread may result in a graph having a smaller clique number (for example, when  $\Gamma$  is the complement of  $K(4, 2)$ ).

## 7 On bounding the clique number of an edge-regular graph

Part 2 of Theorem 3.1 was applied in [12] to bound the clique number of an edge-regular graph  $\Gamma$  with given parameters  $(v, k, \lambda)$ . Let  $C(x, y) = C_{v,k,\lambda}(x, y)$ , and let  $b = b_{v,k,\lambda}$  be the least positive integer such that  $C(m, b+1) < 0$  for some integer  $m$ . As pointed out in [12], such a  $b$  always exists, since as a polynomial in  $y$ ,  $C(x, y)$  has leading term  $-y^3$ , and this  $b$  is easy to determine, since for each  $n = 2, 3, \dots, v-1$ ,  $C(x, n)$  is a quadratic polynomial in  $x$ , and we can easily check whether there is some integer  $m$  with  $C(m, n) < 0$ .

We know of no case of a strongly regular graph with parameters  $(v, k, \lambda, \mu)$  where the bound  $b = b_{v,k,\lambda}$  is worse than the Delsarte bound, and some cases where the bound  $b$  is strictly better (see also [12]). Somewhat trivially, since  $C(0, n) = n(n-1)(\lambda - n + 2)$ , we have  $b \leq \lambda + 2$ , but such an inequality does not always hold for the Delsarte bound.

Less trivially, the parameters with smallest  $v$  for which the existence of a strongly regular graph is unknown are  $(v, k, \lambda, \mu) = (65, 32, 15, 16)$  (see [3]).

A strongly regular graph with these parameters would have least eigenvalue  $(-1 - \sqrt{65})/2$ , and the Delsarte bound would be  $8 = \lfloor 1 + 64/(1 + \sqrt{65}) \rfloor$ . However, we calculate that  $b_{65,32,15} = 7$ , and so any edge-regular graph  $\Delta$  with parameters  $(65, 32, 15)$  has  $\omega(\Delta) \leq 7$ . Perhaps it would be fruitful to search for a strongly regular graph with parameters  $(65, 32, 15, 16)$  and containing a clique of size 7.

As a further example, we consider the strongly regular graphs with parameters  $(144, 39, 6, 12)$ . There exists such a graph, which is an orbital graph for the primitive action of the simple group  $\text{PSL}(3, 3)$  on 144 points (see [7]). We used the primitive groups library in **GAP** and the **GRAPE** package to construct this graph and to determine that it has a maximum clique of size 4. Now any strongly regular graph with parameters  $(144, 39, 6, 12)$  has least eigenvalue  $-9$ , and so the Delsarte bound is  $5 = \lfloor 16/3 \rfloor$ . However,  $C_{144,39,6}(1, 5) = -12$ , and so any edge-regular graph  $\Delta$  with parameters  $(144, 39, 6)$  has  $\omega(\Delta) \leq 4$ , and as we have seen, equality in this bound can be achieved.

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