

Orthogonally Decomposable Tensors

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Tensors, their Decompositions, and Applications
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August 16, 2016

Symmetric Tensors

T is an $\underbrace{n \times \dots \times n}_{d \text{ times}}$ symmetric tensor with elements in \mathbb{R} if

$$T_{i_1 i_2 \dots i_d} = T_{i_{\sigma_1} i_{\sigma_2} \dots i_{\sigma_d}}$$

for all permutations σ of $\{1, 2, \dots, d\}$. Notation: $T \in S^d(\mathbb{R}^n)$.

Example ($d = 2$)

$$T = \begin{pmatrix} T_{11} & T_{12} & \cdots & T_{1n} \\ T_{12} & T_{22} & \cdots & T_{2n} \\ & & \vdots & \\ T_{1n} & T_{2n} & \cdots & T_{nn} \end{pmatrix}$$

Example ($n = 3, d = 3$)

$$T = \underbrace{\begin{pmatrix} T_{111} & T_{112} & T_{113} \\ T_{112} & T_{122} & T_{123} \\ T_{113} & T_{123} & T_{133} \end{pmatrix}}_{T_{1..}} , \underbrace{\begin{pmatrix} T_{112} & T_{122} & T_{123} \\ T_{122} & T_{222} & T_{223} \\ T_{123} & T_{223} & T_{233} \end{pmatrix}}_{T_{2..}} , \underbrace{\begin{pmatrix} T_{113} & T_{123} & T_{133} \\ T_{123} & T_{223} & T_{233} \\ T_{133} & T_{233} & T_{333} \end{pmatrix}}_{T_{3..}} .$$

Symmetric Tensors and Polynomials

An equivalent way of representing a symmetric tensor $T \in S^d(\mathbb{R}^n)$ is by a *homogeneous polynomial* $f_T \in \mathbb{R}[x_1, \dots, x_n]$ of degree d .

Example ($d = 2$)

In the case of matrices,

$$\begin{aligned} f_T(x_1, \dots, x_n) &= x^T T x \\ &= (x_1 \quad x_2 \quad \cdots \quad x_n) \begin{pmatrix} T_{11} & T_{12} & \cdots & T_{1n} \\ T_{12} & T_{13} & \cdots & T_{2n} \\ & & \vdots & \\ T_{1n} & T_{2n} & \cdots & T_{nn} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} \\ &= \sum_{i,j} T_{ij} x_i x_j. \end{aligned}$$

Symmetric Tensors and Polynomials

For general $T \in S^d(\mathbb{R}^n)$,

$$f_T(x_1, \dots, x_n) = T \cdot x^d := \sum_{i_1, \dots, i_d=1}^n T_{i_1 \dots i_d} x_{i_1} \dots x_{i_d}$$

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Example ($n = 3, d = 2$)

For 3×3 matrices,

$$\begin{aligned}f_T(x_1, x_2, x_3) &= \sum_{i_1, i_2=1}^3 T_{i_1 i_2} x_{i_1} x_{i_2} \\&= \underbrace{T_{11}}_{u_{2,0,0}} x_1^2 + \underbrace{2T_{12}}_{u_{1,1,0}} x_1 x_2 + \underbrace{2T_{13}}_{u_{1,0,1}} x_1 x_3 + \underbrace{T_{22}}_{u_{0,2,0}} x_2^2 + \underbrace{2T_{23}}_{u_{0,1,1}} x_2 x_3 + \underbrace{T_{33}}_{u_{0,0,2}} x_3^2.\end{aligned}$$

Symmetric Tensor Decomposition

A *symmetric decomposition* of a symmetric tensor $T \in S^d(\mathbb{R}^n)$ is

$$T = \sum_{i=1}^r \lambda_i v_i^{\otimes d}.$$

If $f_T \in \mathbb{R}[x_1, \dots, x_n]$ is the corresponding polynomial, then

$$f_T(x_1, \dots, x_n) = \sum_{i=1}^r \lambda_i (v_i \cdot x)^d = \sum_{i=1}^r \lambda_i (v_{i1}x_1 + v_{i2}x_2 + \dots + v_{in}x_n)^d.$$

The smallest r for which such a decomposition exists is the *symmetric rank* of T .

Orthogonal Tensor Decomposition

An *orthogonal symmetric decomposition* of a symmetric tensor $T \in S^d(\mathbb{R}^n)$ is a decomposition

$$T = \sum_{i=1}^r \lambda_i v_i^{\otimes d} \quad \text{with corresponding} \quad f_T = \sum_{i=1}^r \lambda_i (v_i \cdot x)^d$$

such that the vectors $v_1, \dots, v_r \in \mathbb{R}^n$ are orthonormal. In particular, $r \leq n$.

Definition

A tensor $T \in S^d(\mathbb{R}^n)$ is *orthogonally decomposable*, or *odeco*, if it has an orthogonal decomposition.

Examples

1. All symmetric matrices are odeco: by the spectral theorem

$$\begin{aligned} T = V^T \Lambda V &= \begin{bmatrix} | & \cdots & | \\ v_1 & \cdots & v_n \\ | & \cdots & | \end{bmatrix} \begin{bmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{bmatrix} \begin{bmatrix} - & v_1 & - \\ & \vdots & \\ - & v_n & - \end{bmatrix} \\ &= \sum_{i=1}^n \lambda_i v_i v_i^T = \sum_{i=1}^n \lambda_i v_i^{\otimes 2}, \end{aligned}$$

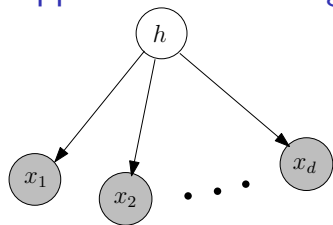
where v_1, \dots, v_n is an orthonormal basis of eigenvectors.

2. The Fermat polynomial: If $v_i = e_i$, for $i = 1, \dots, n$, then

$$f_T(x_1, \dots, x_n) = x_1^d + x_2^d + \cdots + x_n^d,$$

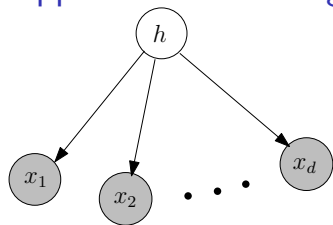
$$T = e_1^{\otimes d} + e_2^{\otimes d} + \cdots + e_n^{\otimes d}.$$

An Application: Exchangeable Single Topic Models



Pick a topic $h \in \{1, 2, \dots, k\}$ with distribution $(w_1, \dots, w_k) \in \Delta_{k-1}$. Given $h = j$, x_1, \dots, x_d are *i.i.d* random variables taking values in $\{1, 2, \dots, n\}$ with distribution $\mu_j = (\mu_{j1}, \dots, \mu_{jn}) \in \Delta_{n-1}$.

An Application: Exchangeable Single Topic Models

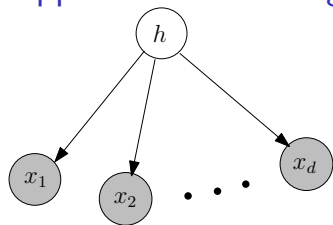


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Then, the joint distribution of x_1, \dots, x_d is an $\underbrace{n \times n \times \dots \times n}_{d \text{ times}}$ symmetric tensor $T \in S^d(\mathbb{R}^n)$ whose entries sum to 1. Moreover,

$$T = \sum_{j=1}^k \mathbb{P}(h = j) \mathbb{P}(x_1 | h = j) \otimes \dots \otimes \mathbb{P}(x_d | h = j) = \sum_{j=1}^k w_j \mu_j^{\otimes d}.$$

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Given T , to recover the parameters w, μ , use a transformation $T \mapsto T_{od}$ and decompose T_{od} [Anandkumar et al.].

Eigenvectors of Tensors

Consider a symmetric tensor $T \in S^d(\mathbb{R}^n)$.

Example ($d = 2$)

T is an $n \times n$ matrix and $w \in \mathbb{C}^n$ is an eigenvector if

$$Tw = \begin{pmatrix} \vdots \\ \sum_{j=1}^n T_{i,j} w_j \\ \vdots \end{pmatrix} = \lambda w.$$

Example ($d = 3$)

T is an $n \times n \times n$ tensor and $w \in \mathbb{C}^n$ is an eigenvector if

$$Tw^2 := \begin{pmatrix} \vdots \\ \sum_{j,k=1}^n T_{i,j,k} w_j w_k \\ \vdots \end{pmatrix} = \lambda w.$$

Eigenvectors of Symmetric Tensors

Definition

- ▶ Given a symmetric tensor $T \in S^d(\mathbb{R}^n)$, an *eigenvector* of T with *eigenvalue* λ is a vector $w \in \mathbb{C}^n$ such that

$$T w^{d-1} := \begin{pmatrix} \vdots \\ \sum_{i_2, \dots, i_d=1}^n T_{i, i_2, \dots, i_d} w_{i_2} \dots w_{i_d} \\ \vdots \end{pmatrix} = \lambda w.$$

Two eigenvector-eigenvalue pairs (w, λ) and (w', λ') are equivalent if there exists $t \in \mathbb{C} \setminus \{0\}$ such that $t^{d-2}\lambda = \lambda'$ and $tw = w'$.

- ▶ For the corresponding $f_T \in \mathbb{R}[x_1, \dots, x_n]$, $w \in \mathbb{C}^n$ is an *eigenvector* with *eigenvalue* λ if

$$\nabla f_T(w) = d\lambda w.$$

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$$\nabla f_T(w) = d\lambda w.$$

Therefore, the eigenvectors of f are given by the vanishing of the

2×2 minors of the matrix $[\nabla f_T(x)|x]$.

Eigenvectors of Symmetric Tensors

Example

Let $d = 2$ and T be an $n \times n$ symmetric matrix. Then

$$f_T(x_1, \dots, x_n) = \sum_{i,j} T_{ij} x_i x_j.$$

Thus,

$$\nabla f_T(x_1, \dots, x_n) = \begin{pmatrix} 2(\sum_{i=1}^n T_{1i} x_i) \\ 2(\sum_{i=1}^n T_{2i} x_i) \\ \vdots \\ 2(\sum_{i=1}^n T_{ni} x_i) \end{pmatrix} = 2Tx.$$

So, x is an eigenvector with eigenvalue λ if and only if $\nabla f_T(x) = 2\lambda x$.

Eigenvectors of Symmetric Tensors

Example

Let

$$T = e_1^{\otimes 3} + e_2^{\otimes 3} + e_3^{\otimes 3} \text{ and } f_T(x, y, z) = x^3 + y^3 + z^3.$$

Then, $(x, y, z)^T$ is an eigenvector of f_T if and only if the 2×2 minors of

the matrix $\begin{bmatrix} \nabla f & x \\ & y \\ & z \end{bmatrix} = \begin{bmatrix} 3x^2 & x \\ 3y^2 & y \\ 3z^2 & z \end{bmatrix}$ vanish. Therefore,

$$x^2y - xy^2 = x^2z - xz^2 = y^2z - yz^2 = 0.$$

This is equivalent to

$$xy(x - y) = xz(x - z) = yz(y - z) = 0.$$

The solutions are (up to scaling):

$$\{(1, 0, 0), (0, 1, 0), (0, 0, 1), (1, 1, 0), (1, 0, 1), (0, 1, 1), (1, 1, 1)\}.$$

Eigenvectors of Odeco Tensors

If $T = \sum_{i=1}^n \lambda_i v_i^{\otimes d}$ is an odeco tensor, i.e. v_1, \dots, v_n are orthonormal, then the vectors v_k , $k = 1, \dots, n$ are eigenvectors of T with corresponding eigenvalues λ_k , $k = 1, \dots, n$:

$$T v_k^{d-1} = \sum_{i=1}^n \lambda_i v_i (v_k \cdot v_i)^{d-1} = \lambda_k v_k.$$

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$$T v_k^{d-1} = \sum_{i=1}^n \lambda_i v_i (v_k \cdot v_i)^{d-1} = \lambda_k v_k.$$

- ▶ Is there an easy way of finding these vectors, i.e. finding the orthogonal decomposition of an odeco tensor?
- ▶ Are these all of the eigenvectors of an odeco tensor?

Robust Eigenvectors

Definition

A unit vector $u \in \mathbb{R}^n$ is a *robust eigenvector* of a tensor $T \in S^d(\mathbb{R}^n)$ if there exists $\epsilon > 0$ such that for all $\theta \in \mathcal{B}_\epsilon(u) = \{u' : \|u - u'\| < \epsilon\}$, repeated iteration of the map

$$\theta \mapsto \frac{T\theta^{d-1}}{\|T\theta^{d-1}\|}, \quad (1)$$

starting from θ converges to u .

Theorem (Anandkumar et al.)

Let T have an orthogonal decomposition $T = \sum_{i=1}^k \lambda_i v_i^{\otimes d}$ with v_1, \dots, v_k orthonormal, and assume that $\lambda_1, \dots, \lambda_k > 0$.

1. The set of $\theta \in \mathbb{R}^n$ which do not converge to some v_i under repeated iteration of (1) has measure 0.
2. The set of robust eigenvectors of T is equal to $\{v_1, v_2, \dots, v_k\}$.

The Tensor Power Method

Algorithm

Input: An odec tensor T .

Output: An orthogonal representation of T .

Repeat

Find $v_i \leftarrow$ power method output starting from a random $u \in \mathbb{R}^n$.

Recover $\lambda_i = T \cdot v_i^d$.

$T \leftarrow T - \lambda_i v_i^{\otimes d}$.

Return v_1, \dots, v_k and $\lambda_1, \dots, \lambda_k$.

The tensor power method consists of repeated iteration of the map

$$u \mapsto \frac{Tu^{d-1}}{\|Tu^{d-1}\|},$$

or equivalently,

$$u \mapsto \frac{\nabla f(u)}{\|\nabla f(u)\|}.$$

The Number of Eigenvectors of a Tensor

Recall: Given a tensor $T \in S^d(\mathbb{C}^n)$ with corresponding polynomial f_T , the eigenvectors $x \in \mathbb{C}^n$ are the solutions to the equations given by the 2×2 minors of the matrix

$$[\nabla f_T(x)|x].$$

Theorem (Sturmfels and Cartwright)

If a tensor $T \in S^d(\mathbb{C}^n)$ has finitely many eigenvectors, then their number is $\frac{(d-1)^n - 1}{d-2}$.

Eigenvectors of Odeco Tensors

Odeco tensors are nice because we can characterize all of their eigenvectors.

Theorem

Let $T \in S^d(\mathbb{C}^n)$ be an odeco tensor with $d \geq 3$ and $T = \sum_{i=1}^n \lambda_i v_i^{\otimes d}$. Then, T has $\frac{(d-1)^n - 1}{d-2}$ eigenvectors. Explicitly, they are

$$\begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = \lambda_{\sigma(1)}^{-\frac{1}{d-2}} v_{\sigma(1)} + \eta_2 \lambda_{\sigma(2)}^{-\frac{1}{d-2}} v_{\sigma(2)} + \cdots + \eta_k \lambda_{\sigma(k)}^{-\frac{1}{d-2}} v_{\sigma(k)},$$

where $k = 1, \dots, n$, η_2, \dots, η_k are $(d-2)$ -nd roots of unity and σ is a permutation on $\{1, \dots, n\}$.

Eigenvectors of Odeco Tensors

Example ($d = 3, n = 3$)

Let

$$T = e_1^{\otimes 3} + e_2^{\otimes 3} + e_3^{\otimes 3}.$$

Then, $V = I$, the identity matrix and the eigenvectors of T are:

$$k = 1 \quad (1 : 0 : 0)^T, (0 : 1 : 0)^T, (0 : 0 : 1)^T$$

$$k = 2 \quad (1 : 1 : 0)^T, (1 : 0 : 1)^T, (0 : 1 : 1)^T$$

$$k = 3 \quad (1 : 1 : 1)^T.$$

The Set of Odeco Tensors

► Parametric representation:

The set of orthogonally decomposable tensors can be parametrized by $\mathbb{R}^n \times O_n(\mathbb{R})$:

$$\lambda, V \mapsto \sum_{i=1}^n \lambda_i v_i^{\otimes d}.$$

► Implicit representation:

The set of orthogonally decomposable tensors can also be represented as the solutions to a set of equations.

Definition

The *odeco variety* is the set of all odeco tensors in $S^d(\mathbb{R}^n)$.

Goal: find equations defining this variety.

The Odeco Variety

Let $T \in S^d(\mathbb{R}^n)$. For $q = 1, \dots, d$, consider the tensor $T *_q T \in S^2(S^{d-1}(\mathbb{R}^n))$.

The Odeco Variety

Let $T \in S^d(\mathbb{R}^n)$. For $q = 1, \dots, d$, consider the tensor $T *_q T \in S^2(S^{d-1}(\mathbb{R}^n))$. Let \mathcal{F} be the set of equations defining by the condition

$$T *_q T \in S^{2(d-1)}(\mathbb{R}^n).$$

Theorem (Boralevi, Draisma, Horobeț, R.)

The odeco variety is equal to zero set of \mathcal{F} for every n .

Conjecture

The ideal defined by \mathcal{F} is prime.

Odeco Tensors as Algebras

Let $T \in S^3(\mathbb{R}^n)$ be a symmetric tensor of order 3. Let $V_T = \mathbb{R}^n$ be equipped with a positive definite inner product $(\cdot|\cdot)$. Then, V has the structure of an algebra via the product

$$\begin{aligned} V_T \times V_T &\rightarrow V_T \\ (x, y) &\mapsto x \star y := T(x, y, \cdot). \end{aligned}$$

The inner product is compatible with the product in the sense that

$$(x \star y|z) = T(x, y, z) = (z \star x|y).$$

And the product is commutative

$$x \star y = y \star x.$$

Theorem (Boralevi, Draisma, Horobeț, R.)

The tensor T is odeco if and only if (V, \star) is associative, i.e.

$$x \star (y \star z) = (x \star y) \star z.$$

Nonsymmetric Tensor Decomposition

Let $T \in \mathbb{R}^n \otimes \mathbb{R}^n \otimes \dots \otimes \mathbb{R}^n = (\mathbb{R}^n)^{\otimes d}$. A *decomposition* of T is an expression of the form

$$T = \sum_{i=1}^r \lambda_i \mathbf{a}_i \otimes \mathbf{b}_i \otimes \mathbf{c}_i \otimes \dots .$$

A tensor $T \in \mathbb{R}^n \otimes \dots \otimes \mathbb{R}^n$ is *orthogonally decomposable*, or *odeco*, if we can decompose it as

$$T = \sum_{i=1}^n \lambda_i \mathbf{a}_i \otimes \mathbf{b}_i \otimes \mathbf{c}_i \otimes \dots ,$$

so that $\mathbf{a}_1, \dots, \mathbf{a}_n \in \mathbb{R}^n$ are orthonormal, $\mathbf{b}_1, \dots, \mathbf{b}_n \in \mathbb{R}^n$ are orthonormal, $\mathbf{c}_1, \dots, \mathbf{c}_n \in \mathbb{R}^n$ are orthonormal, etc.

Nonsymmetric Odeco Tensors

Example

1. If $T \in \mathbb{R}^n \otimes \mathbb{R}^n$ is a matrix, then T has singular value decomposition

$$T = U\Sigma V^T = \sum_{i=1}^k \sigma_i u_i v_i^T = \sum_{i=1}^k \sigma_i u_i \otimes v_i,$$

where u_1, \dots, u_k are orthonormal and v_1, \dots, v_k are orthonormal.

2. The tensor $T \in \mathbb{R}^n \otimes \dots \otimes \mathbb{R}^n$ given by

$$T = \sum_{i=1}^n \lambda_i e_i \otimes e_i \otimes \dots \otimes e_i$$

is odeco.

Singular Vector Tuples

Example

Given a matrix $T \in \mathbb{R}^n \otimes \mathbb{R}^n$, (u, v) is a *singular vector tuple* of T if there exists λ such that

$$Tu = \begin{bmatrix} \vdots \\ \sum_j T_{ij} u_j \\ \vdots \end{bmatrix} = \lambda v \quad \text{and} \quad T^T v = \begin{bmatrix} \vdots \\ \sum_i T_{ij} v_i \\ \vdots \end{bmatrix} = \lambda u.$$

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Definition

Given a tensor $T \in \mathbb{R}^n \otimes \cdots \otimes \mathbb{R}^n$ a *singular vector tuple* is a d -tuple $(x_1, \dots, x_d) \in \mathbb{C}^n \times \cdots \times \mathbb{C}^n$ such that for every $1 \leq k \leq d$,

$$T(x_1, \dots, x_{k-1}, \cdot, x_{k+1}, \dots, x_d) = \lambda x_k,$$

for some $\lambda \in \mathbb{C}$.

Example

1. If $T \in \mathbb{R}^n \otimes \mathbb{R}^n$ is a generic matrix with singular value decomposition

$$T = U\Sigma V^T = \sum_{i=1}^n \sigma_i u_i v_i^T,$$

$(u_1, v_1), \dots, (u_n, v_n)$ are all of the singular vector pairs of T .

2. Let $T \in \mathbb{R}^n \otimes \mathbb{R}^n \otimes \mathbb{R}^n$ be odeco with

$$T = \sum_{i=1}^n \lambda_i a_i \otimes b_i \otimes c_i.$$

Then, $(a_1, b_1, c_1), \dots, (a_n, b_n, c_n)$ are singular vector triples, but there are many more additional ones.

Tensor Power Method for Nonsymmetric Odeco Tensors

Start with odeco $T = \sum \lambda_i a_i \otimes b_i \otimes c_i$.

While $T \neq 0$ repeat

Choose $x^{(0)}, y^{(0)}, z^{(0)} \in \mathbb{R}^n$.

For i from 1 to N repeat

$$x^{(i+1)} = T(\cdot, y^{(i)}, z^{(i)})$$

$$y^{(i+1)} = T(x^{(i)}, \cdot, z^{(i)})$$

$$z^{(i+1)} = T(x^{(i)}, y^{(i)}, \cdot).$$

End for

Find

$$\lambda = T(x^{(N)}, y^{(N)}, z^{(N)}).$$

Set

$$T = T - \lambda x^{(N)} \otimes y^{(N)} \otimes z^{(N)}.$$

End while

Lemma

With probability 1 the tensor power method converges to one of the (a_i, b_i, c_i) . And each of them has a positive probability of happening.

Singular Vectors of Odeco Tensors

Theorem

Let $T \in \mathbb{R}^n \otimes \cdots \otimes \mathbb{R}^n$ be odeco with decomposition $T = \sum_{i=1}^n \lambda_i a_i \otimes b_i \otimes c_i \otimes \cdots$. Let $A = (a_1 \mid a_2 \mid \cdots \mid a_n)$, $B = (b_1 \mid b_2 \mid \cdots \mid b_n)$, etc., so that A, B, C, \dots are orthogonal matrices. Then, the singular vector tuples of T are given as follows:

Type I

$$\left(A \begin{bmatrix} \lambda_1^{-\frac{1}{d-2}} \\ \chi_{12}\eta_2\lambda_2^{-\frac{1}{d-2}} \\ \vdots \\ \chi_{1k}\eta_k\lambda_k^{-\frac{1}{d-2}} \\ 0 \\ \vdots \\ 0 \end{bmatrix}, B \begin{bmatrix} \lambda_1^{-\frac{1}{d-2}} \\ \chi_{22}\eta_2\lambda_2^{-\frac{1}{d-2}} \\ \vdots \\ \chi_{2k}\eta_k\lambda_k^{-\frac{1}{d-2}} \\ 0 \\ \vdots \\ 0 \end{bmatrix}, C \begin{bmatrix} \lambda_1^{-\frac{1}{d-2}} \\ \chi_{32}\eta_2\lambda_2^{-\frac{1}{d-2}} \\ \vdots \\ \chi_{3k}\eta_k\lambda_k^{-\frac{1}{d-2}} \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \dots \right),$$

where $1 \leq k \leq n$, χ_{ij} is a 2-nd root of unity, η_i is a $(d-2)$ -nd root of unity, up to permutation.

Type II

$$(Ax_1, Bx_2, \dots, Cx_3, \dots),$$

where the matrix $X = (x_{ij})_{ij}$ has at least two zeros in each column and no row is identical to 0.

The Set of Odeco Tensors

Definition

The *odeco variety* is the Zariski closure of the set of all odeco tensors in $\mathbb{R}^n \otimes \cdots \otimes \mathbb{R}^n$.

For $q = 1, \dots, d$ consider $T *_q T \in S^2(\mathbb{R}^{n_1} \otimes \cdots \otimes \mathbb{R}^{n_{q-1}} \otimes \mathbb{R}^{n_{q+1}} \otimes \cdots \otimes \mathbb{R}^{n_d})$.

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Let \mathcal{F} be the ideal defined by the condition that for every $q = 1, \dots, d$

$$T *_q T \in S^2(\mathbb{R}^{n_1}) \otimes \cdots \otimes S^2(\mathbb{R}^{n_{q-1}}) \otimes S^2(\mathbb{R}^{n_{q+1}}) \otimes \cdots \otimes S^2(\mathbb{R}^{n_d}).$$

Theorem (Boralevi, Draisma, Horobeț, R.)

The set of orthogonally decomposable tensors equals $\mathcal{V}(\mathcal{F})$.

Conjecture

The ideal \mathcal{F} is prime.

Decomposing Tensors into Frames

A general tensor $T \in S^d(\mathbb{R}^n)$ has rank $\lfloor \frac{1}{n} \binom{n+d-1}{d} \rfloor$.

An odeco tensor $T = \sum_{i=1}^n \lambda_i \mathbf{v}_i^{\otimes d}$ has rank n .

Question: How to enlarge the set of odeco tensors to contain tensors of higher ranks?

Idea: Let $V := (\mathbf{v}_1, \dots, \mathbf{v}_r) \in (\mathbb{R}^n)^r$ be a *finite unit norm tight frame*, i.e.

$$VV^T = \frac{r}{n} I_n \quad \text{and} \quad \|\mathbf{v}_j\|^2 = 1, j = 1, \dots, r.$$

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A tensor $T \in S^d(\mathbb{R}^n)$ is *frame decomposable* (or *fradeco*) if it can be written as

$$T = \sum_{i=1}^r \lambda_i \mathbf{v}_i^{\otimes d},$$

where $(\mathbf{v}_1, \dots, \mathbf{v}_r)$ form a finite unit norm tight frame.

Finite Unit Norm Tight Frames

Examples

▶ The Mercedes Benz Frame $V = \begin{pmatrix} 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ 1 & -\frac{1}{2} & -\frac{1}{2} \end{pmatrix}$.



▶ $V = \frac{1}{3\sqrt{3}} \begin{pmatrix} -5 & 1 & 1 & 3 \\ 1 & -5 & 1 & 3 \\ 1 & 1 & -5 & 3 \end{pmatrix}$.

▶ $V = \begin{pmatrix} 1 & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & 1 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$.

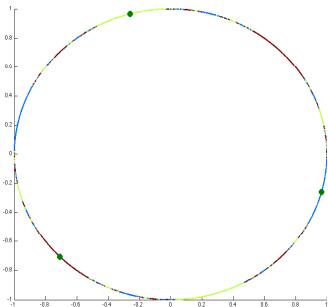
The tensor power method

Conjecture

Let $r = n + 1$ and $T = \sum_{j=1}^{n+1} \lambda_j \mathbf{v}_j^{\otimes d}$ with $\lambda_1, \dots, \lambda_{n+1} > 0$. Then, $\mathbf{v}_1, \dots, \mathbf{v}_{n+1}$ are the robust eigenvectors of T , so they are found by the tensor power method.

Example (The Mercedes Benz Frame)

Let $T = \begin{pmatrix} 0 \\ 1 \end{pmatrix}^{\otimes 5} + \begin{pmatrix} \frac{\sqrt{3}}{2} \\ -\frac{1}{2} \end{pmatrix}^{\otimes 5} + \begin{pmatrix} -\frac{\sqrt{3}}{2} \\ -\frac{1}{2} \end{pmatrix}^{\otimes 5}$. The dynamics of the power method looks like this



The tensor power method

Example

Let $n = 2$, $r = 4$, $d = 5$ and consider the tensor

$$T = \alpha \begin{pmatrix} 1 \\ 0 \end{pmatrix}^{\otimes 4} + \begin{pmatrix} 0 \\ 1 \end{pmatrix}^{\otimes 4} + \begin{pmatrix} 1 \\ 1 \end{pmatrix}^{\otimes 4} + \begin{pmatrix} 1 \\ -1 \end{pmatrix}^{\otimes 4},$$

where $\alpha > 6$. The vector $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ is an eigenvector, but none of the other eigenvectors are real. Therefore, the frame decomposition of T cannot be recovered from its eigenvectors.

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