

# **Dose Individualization for Cancer Chemotherapy**

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# The Motivating Problem

- **Dr. Borje Andersson @ MDACC**
  - **Ultra high-dose therapy to treat leukemia**
    - **Dose too high?**
      - **Fatal toxicity**
    - **Dose too low?**
      - **Less chance for benefit**
    - **Solution**
      - **Choose “optimal” dose**

# Bayesian Modeling

- **Incorporates prior info**
  - Previous studies
  - “Expert” opinion
- **Predict & estimate precision**
  - Based on current knowledge
    - Can incorporate information from outside of current study

# Optimal Individualized Dose

- **Jelliffe et al.**
- **D'Argenio & Rodman**
- **Wakefield**
  - **Constant & quadratic loss functions**
- **Sandstrom, Karlsson, Ljungman, et al.**
  - **Individualization of oral busulfan**

# Back to Transplant Study

- **Have previous studies**
  - 1/day dosing & 2/day dosing
- **Current study**
  - 12 mg i.v. test dose
  - Uniform high dose (mg/m<sup>2</sup>)
- **Future study**
  - Test dose  $\Rightarrow$  PK  $\Rightarrow$  “Optimal”

# What We Would Like To Do

- **Combine**
  - Meta-analysis of PK from earlier studies
  - PK of test dose for new patient
- **Predict PK for new patient**
  - Determine optimal dose
    - Bayesian Decision Theory

# Data

Study	Pt. #	Dose	Time	Conc	Covar
1	1	HIGH	$t_{1,1,1,\dots}$	$y_{1,1,1,\dots}$	$x_{1,1,\dots}$
...	...	...			
1	i	HIGH	$t_{1,i,j,\dots}$	$y_{1,i,j,\dots}$	$x_{1,i,\dots}$
...	...	...			
1	$n_1$	HIGH	$t_{1,n_1,j,\dots}$	$y_{1,n_1,1,\dots}$	$x_{1n_1,\dots}$
2	1	low	$t_{2,1,1,\dots}$	$y_{2,1,1,\dots}^*$	$x_{2,1,\dots}$
2	1	HIGH	$t_{2,1,1,\dots}$	$y_{2,1,1,\dots}$	$x_{2,1,\dots}$
...	...	...			
2	i	low	$t_{2,i,1,\dots}$	$y_{2,i,1,\dots}^*$	$x_{2,i,\dots}$
2	i	HIGH	$t_{2,i,1,\dots}$	$y_{2,i,1,\dots}$	$x_{2,i,\dots}$
...	...	...			
2	$n_2$	low	$t_{2,n_2,1,\dots}$	$y_{2,n_2,1,\dots}^*$	$x_{2,i,n_2,\dots}$
2	$n_2$	HIGH	$t_{2,n_2,1,\dots}$	$y_{2,n_2,j,\dots}$	$x_{2,n_2,\dots}$
3	1	low	$t_{3,1,1,\dots}$	$y_{3,1,1,\dots}^*$	$x_{3,1,\dots}$

# Sampling Distribution

## Nonlinear Mean Function

- **For pt.  $i$ , in study  $s$ , at time  $t_{sij}$**

$$\begin{aligned}y_{s,i,j} &= \log [\text{Conc}(t_{s,i,j})] \\ &= \log [f(t_{s,i,j})] + \textit{error}\end{aligned}$$



# Population Model as Hierarchical Model

- **Observation**

$$p(y_{s,i,j} \mid \theta_i), s = 1, \dots, S; j = 1, \dots, n_i$$

- **Subject-specific parameters**

$$p(\theta_i \mid \phi), i = 1, \dots, I$$

- **Population**

$$p(\phi)$$

# Mixture Models for Population Distribution

- **Distribution of subject-specific parameters:**

$$p(\theta_i | \phi), i = 1, \dots, I$$

– **Characterize as a mixture of simpler distributions**

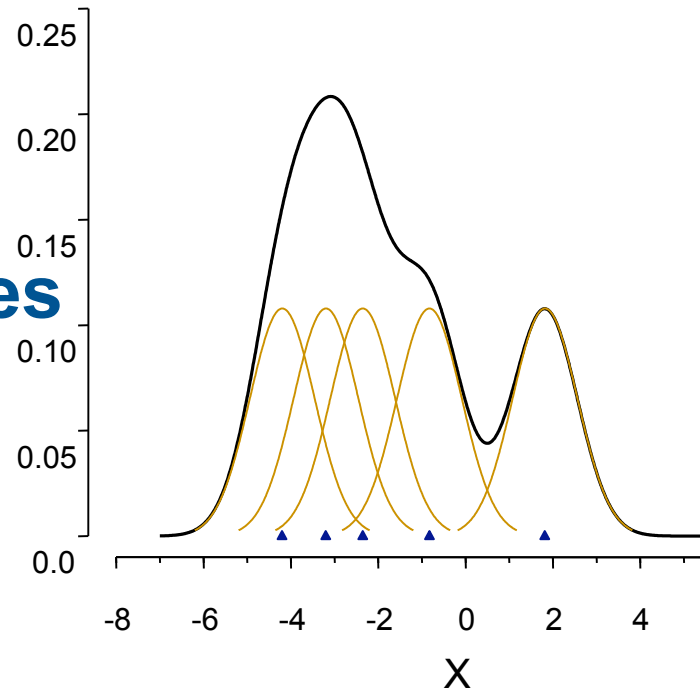
- **Weighted sum of densities**

$$\begin{aligned} p(\theta_i | \phi) &= \text{Mixture of MV Normals} \\ &= \sum_k w_k N(\mu_k, V) \end{aligned}$$

# Why Mixture?

- **Flexible: Depict Many Shapes**
  - **Heterogeneity:**
    - patient characteristics
    - genetic diversity
- **Example:**
  - **Mixtures of normal densities (kernels)**

$p(x)$



# Dirichlet Process (DP)

## *Mixture of Normals*

- **Dirichlet Process is distribution on space of distributions**
- **DP has 2 parameters**
- **$G_0$  is base measure**
- **$M$  is total mass parameter**

$$\mu_i \sim G$$

$$G \sim DP(G_0, M) \quad i.e., G = \sum_{h=1}^{\infty} w_h \delta(\mu_h)$$

$$G_0(\mu) = N(b, B)$$

# Hierarchical Model: Within Study

$$\log(y_{ij}) = \log[f(\theta_i, t_{ij})] + \varepsilon_{ij}$$

$$\varepsilon_{ij} \sim N(0, \tau^{-1}), \quad \tau \sim Ga(\alpha_\tau, \beta_\tau)$$

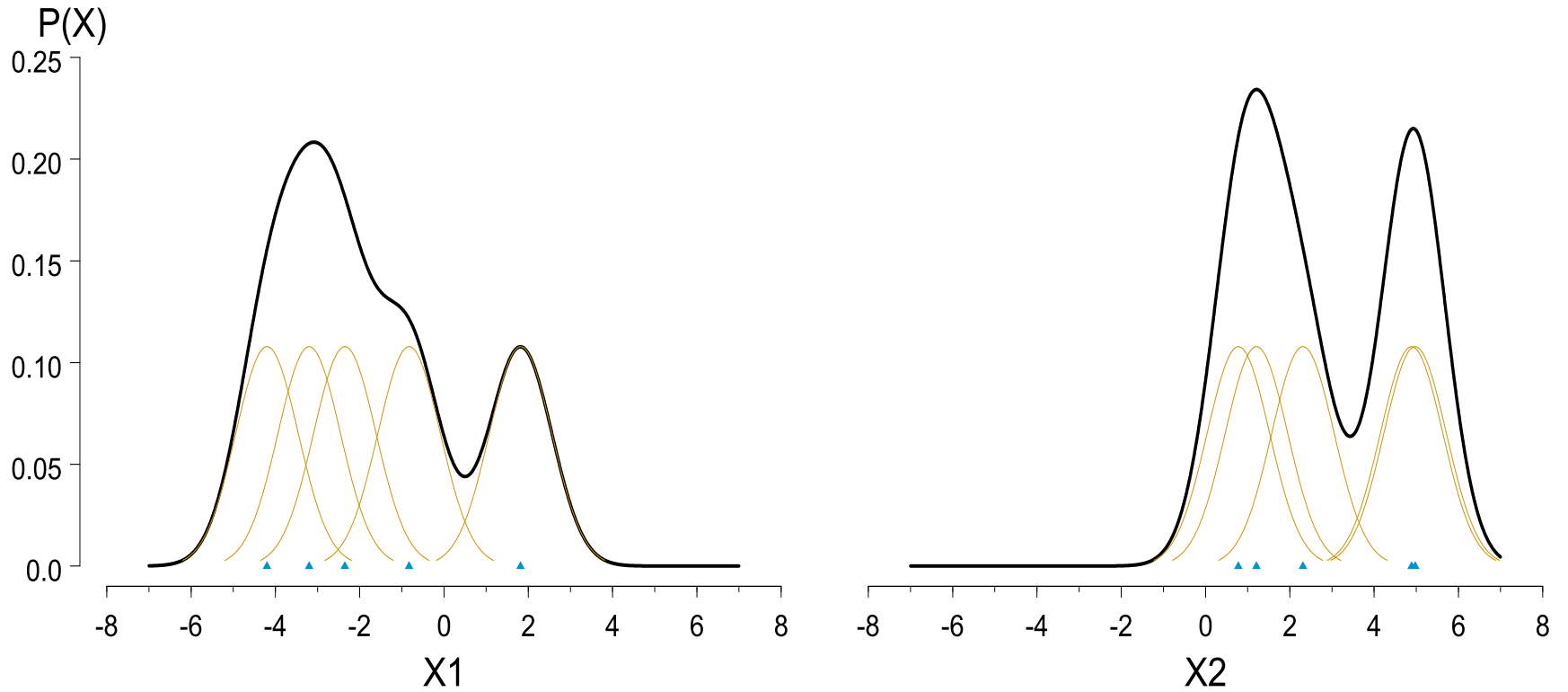
$$\left. \begin{array}{l} \theta_i \sim N(\mu_i, S) \\ \mu_i \sim G \end{array} \right\} \Rightarrow \theta_i \sim \sum_{h=1}^{\infty} w_h N(\mu_h, S)$$

$$G \sim DP(G_0, m) \quad i.e., G = \sum_{h=1}^{\infty} w_h \delta(\mu_h)$$

# Multiple Studies

## Hyperprior for Meta-Analysis

- **Straightforward if parametric hyperprior (random effects)**
  - Parametric model provides structure
- **Complex if mixture hyperprior**
  - Link studies at what level?
  - How enforce linkage?



$X_1$

$X_2$

# How Model Commonality?

# Want to Share More Info From Prior Studies

- **Dependent Dirichlet Process**
  - ANOVA-like structure for means
    - **Categorical covariates:**  $x = (v, w)$ 
      - Locations linked across factor levels of  $x$  via ANOVA model

$$G_x(\phi) = \sum w_h \delta(m_{xh}),$$

$$m_{xh} = \mu_h + A_{v,h} + B_{w,h}$$



# Dependence Structure

## DP Mixture of ANOVA (categorical)

- **Across levels of  $x$  (C levels)**

$$x = x_1, x_2, \dots, x_C$$

$$x = x_1 : G_{x_1} = \omega_1 \delta(m_{11}) + \omega_2 \delta(m_{12}) + \omega_3 \delta(m_{13}) + \dots$$

$$x = x_2 : G_{x_2} = \omega_1 \delta(m_{21}) + \omega_2 \delta(m_{22}) + \omega_3 \delta(m_{23}) + \dots$$

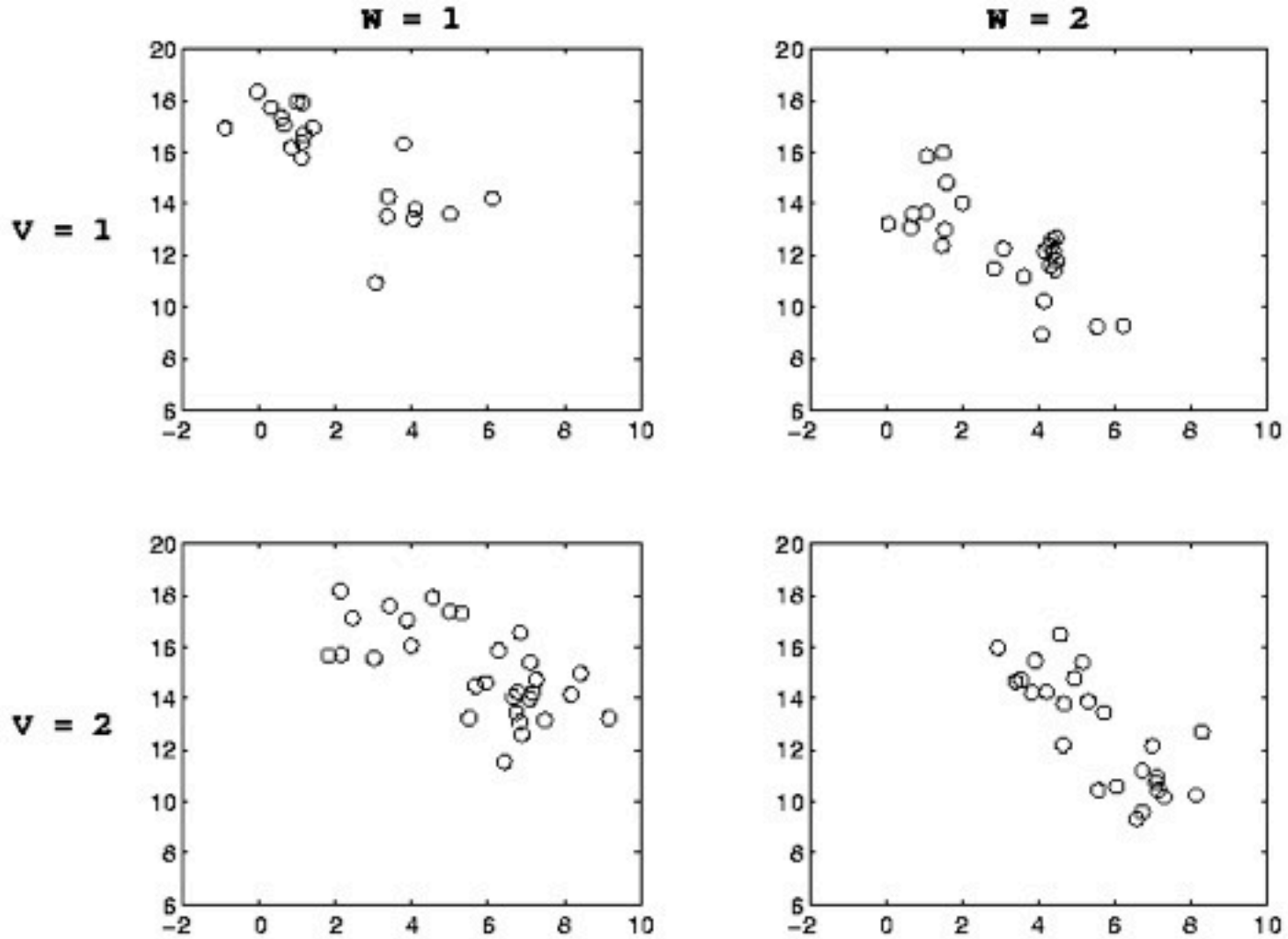
$$x = x_3 : G_{x_3} = \omega_1 \delta(m_{31}) + \omega_2 \delta(m_{32}) + \omega_3 \delta(m_{33}) + \dots$$

...

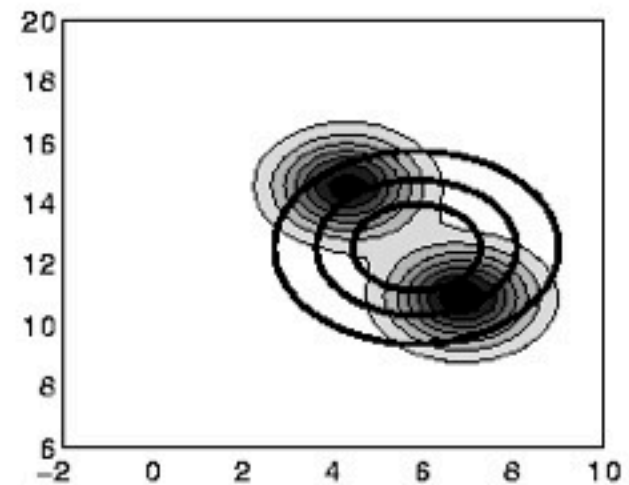
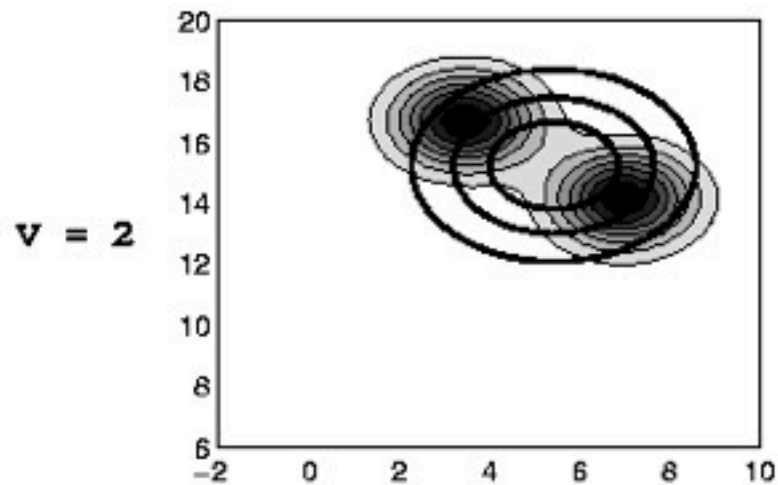
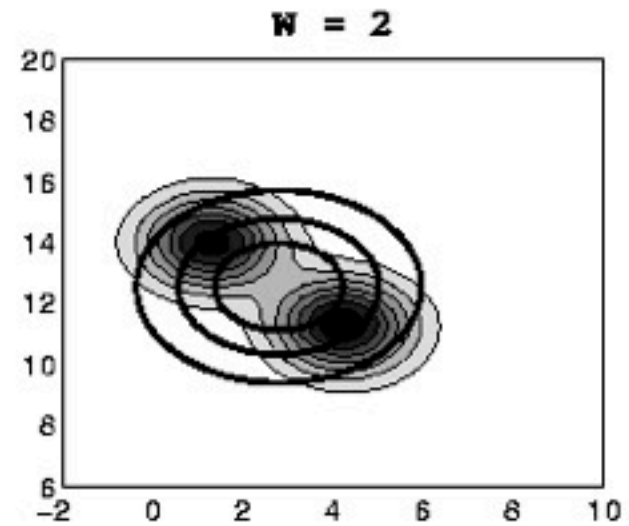
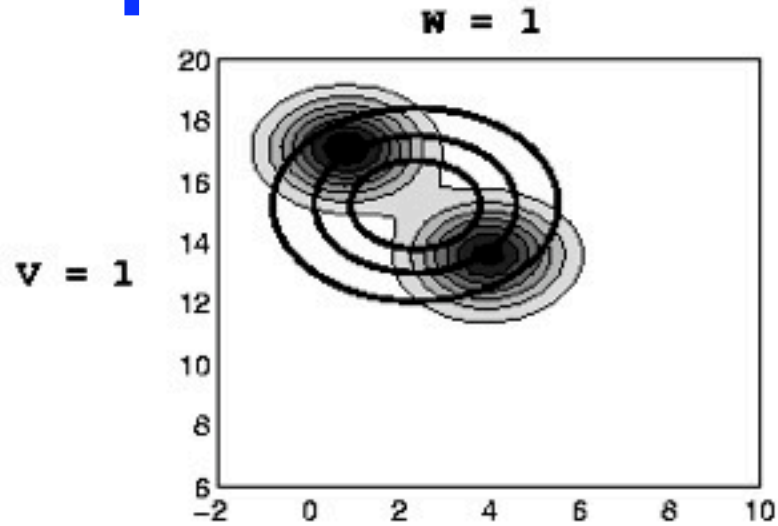
- **Locations are functions of  $x$**

$$m_{xh} = \mu_h + A_{v,h} + B_{w,h}$$

# 2 Factors, 2 Levels Each:



# Compare Mixture to ML Estimation



# Covariates

- **Dependent Dirichlet Process (DDP)**
  - Decompose locations as sums of random measures
- **Categorical covariates**
  - ANOVA DDP
    - Two factors  $v$  and  $w$ :  $x = (v, w)$ 
$$m_{xh} = \mu_h + A_{v,h} + B_{w,h}$$
- **Categorical & continuous covariates**
  - Linear DDP
    - One categorical & 1 continuous  $x = (v, z)$ 
$$m_{xh} = \mu_h + A_{vh} + \beta_h z$$

# Back to Transplant Study

- **Multiple studies**

- **Hierarchical extension**

- $G$  is 2x3 matrix-variate dist'n

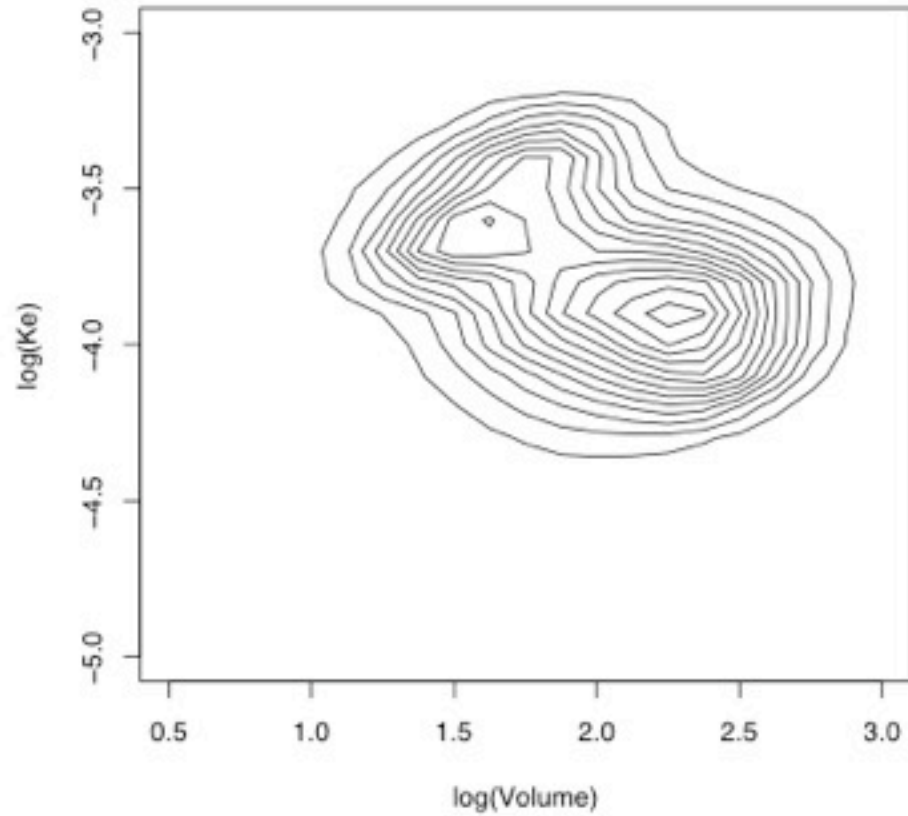
$$\theta_{ki} \sim \int N(\mu_{ki}, S) dG_{ki}(\mu_{ki}), k = 1, 2, 3$$

$$A \sim G$$

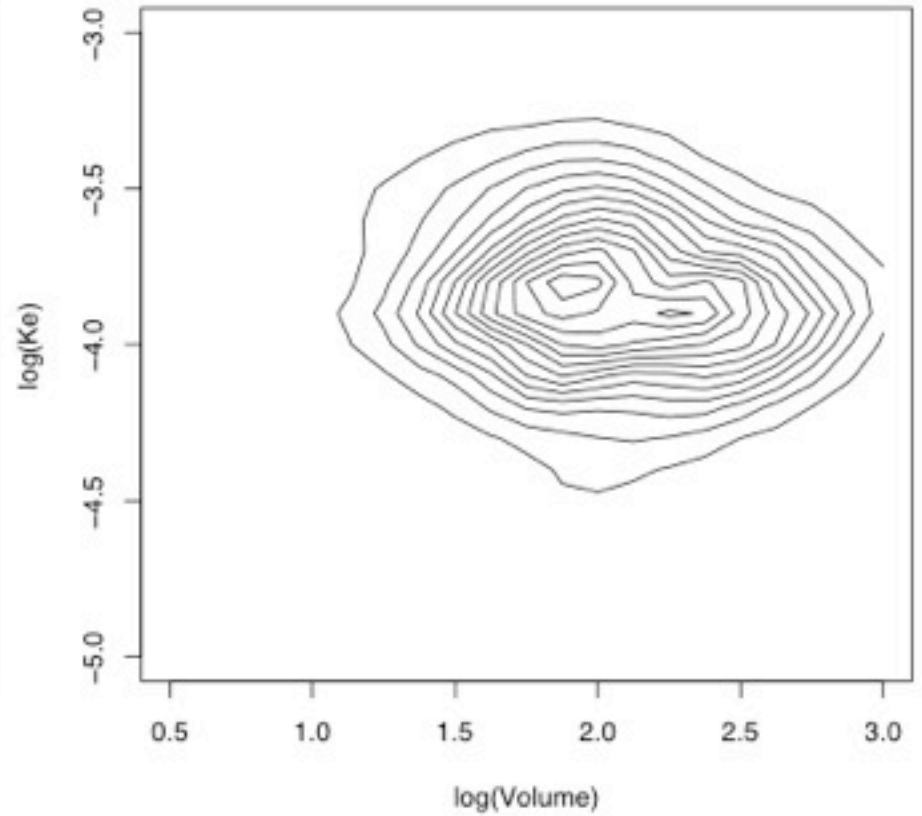
$$A = [m, ST_2, ST_3] = \begin{matrix} & \text{Main} & \text{Study2} & \text{Study3} \\ \begin{pmatrix} \log V_0 & \log V_2 & \log V_3 \\ \log k_0 & \log k_2 & \log k_3 \end{pmatrix} \end{matrix}$$

# Have 2 Studies

First Study

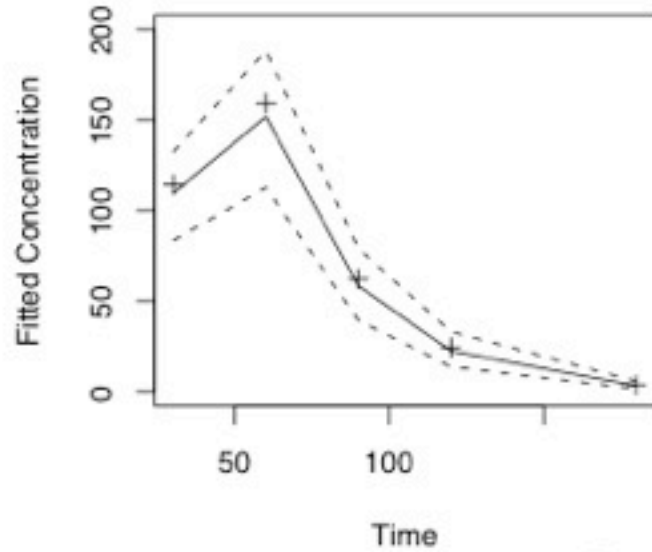


Second Study

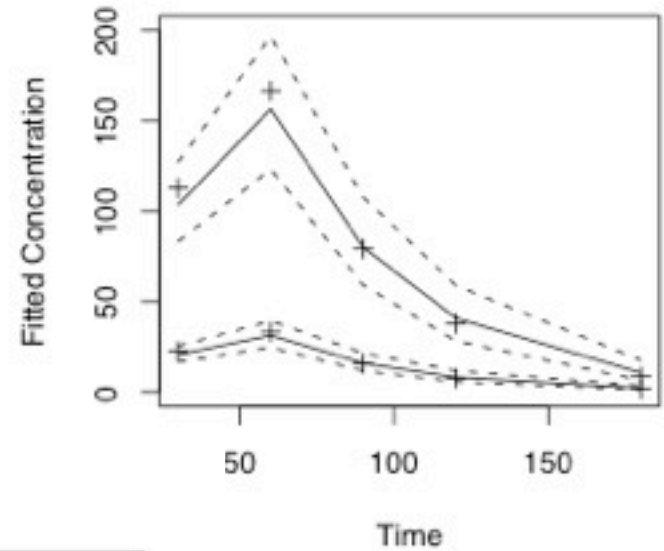


# Fitted Profiles

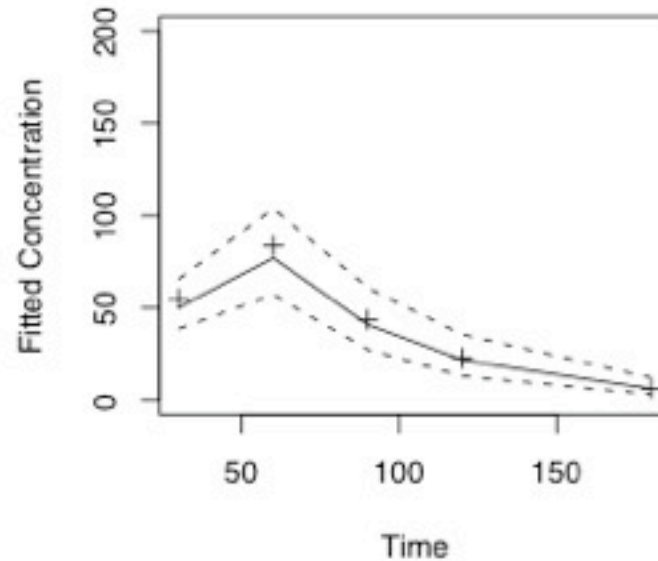
1st Study



2nd Study



3rd Study



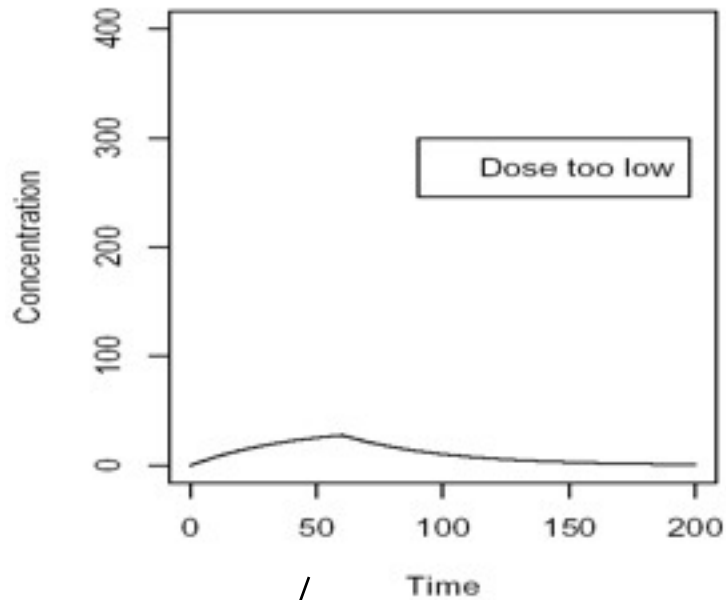
Incorporate  
Test Dose

# Back to Transplant Example

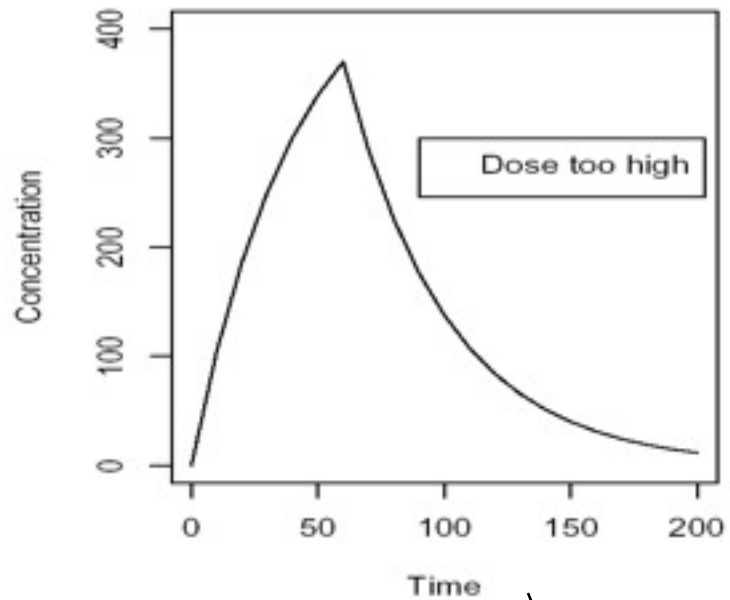
- **Have**
  - Model for drug's PK
  - Probability model for data
- **Need utility function to optimize**



# Dose Optimization



AUC too low!



AUC too high!



# Bayesian Optimal Design

- **Let**  $u(y, d, \theta) = L(AUC)$ ,  $AUC = f(d, V, k)$

- **Pick dose  $d^*$  that minimizes**

$$E[u(y, d, \theta)] = \iint u(y, d, \theta) p_d(y, \theta) dy d\theta$$

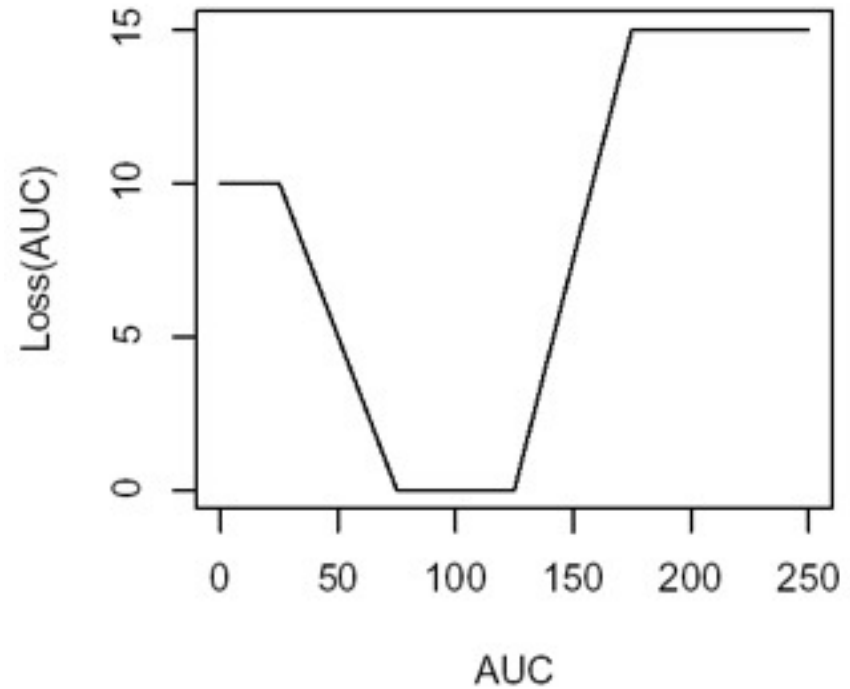
- **With data:**

- **Studies 1 & 2 plus new patient's low dose data**

$$E[u(y, d, \theta)] = \int L[AUC(\theta, d)] p(\theta|D) d\theta$$

# Asymmetric Loss Function

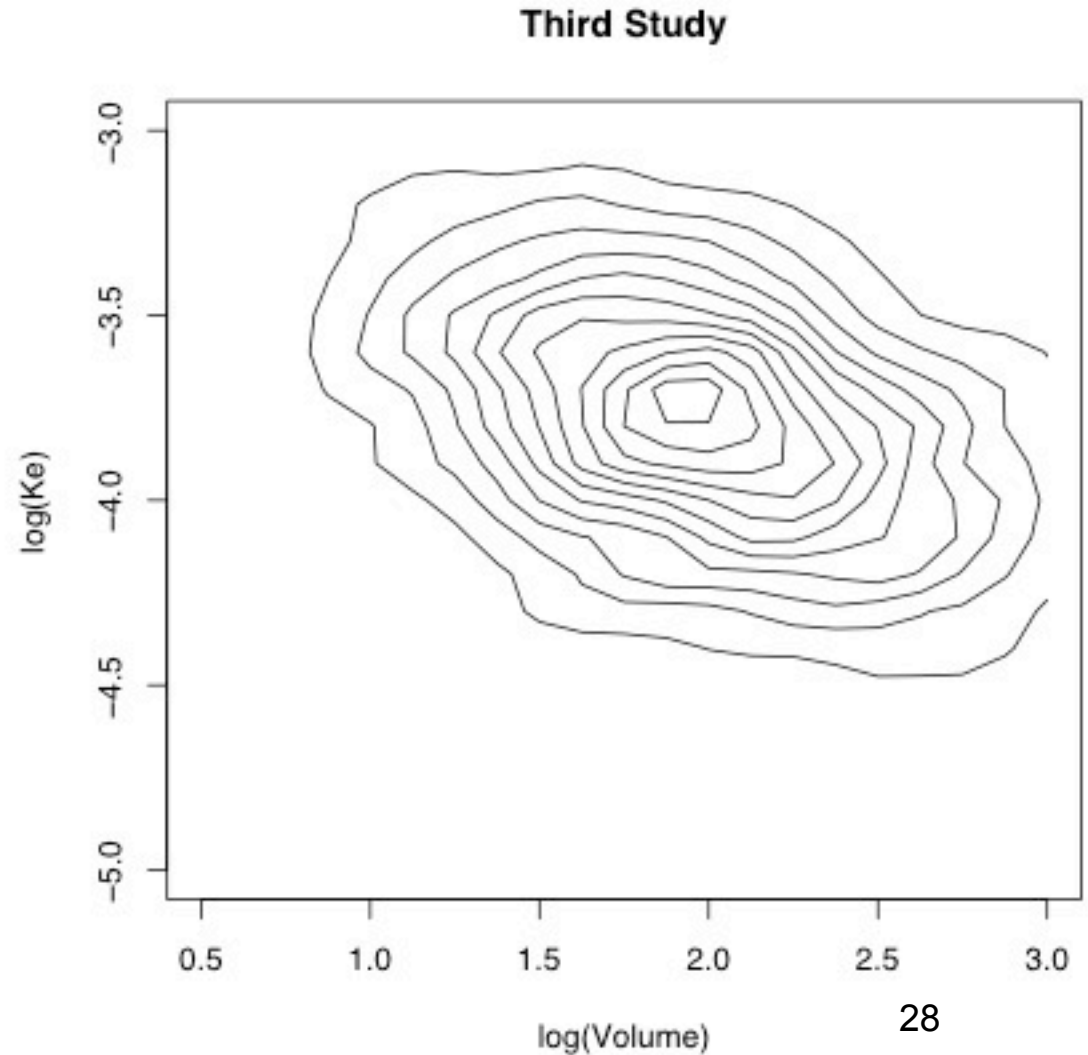
- Want AUC in “optimal” range



- Loss function

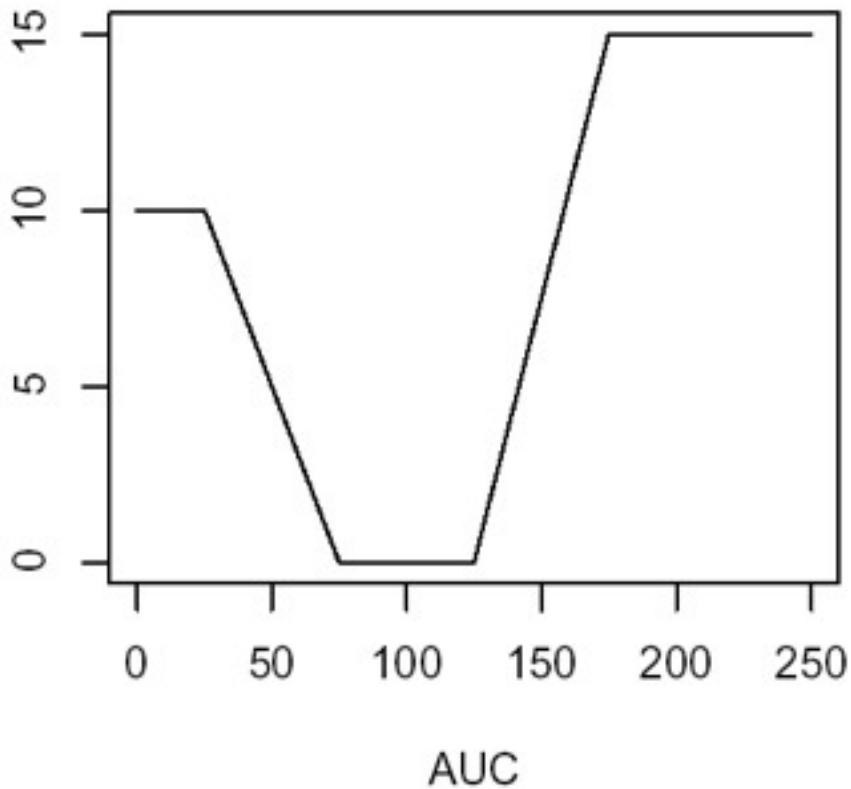
$$L(auc) = \begin{cases} L^-(auc, AUC_{ll}) & \text{if } auc < AUC_{ll} \\ 0 & \text{if } AUC_{ll} < auc < AUC_{ul} \\ L^+(auc, AUC_{ul}) & \text{if } auc > AUC_{ul} \end{cases}$$

# For Study 3: Posterior for this patient's PK parameters

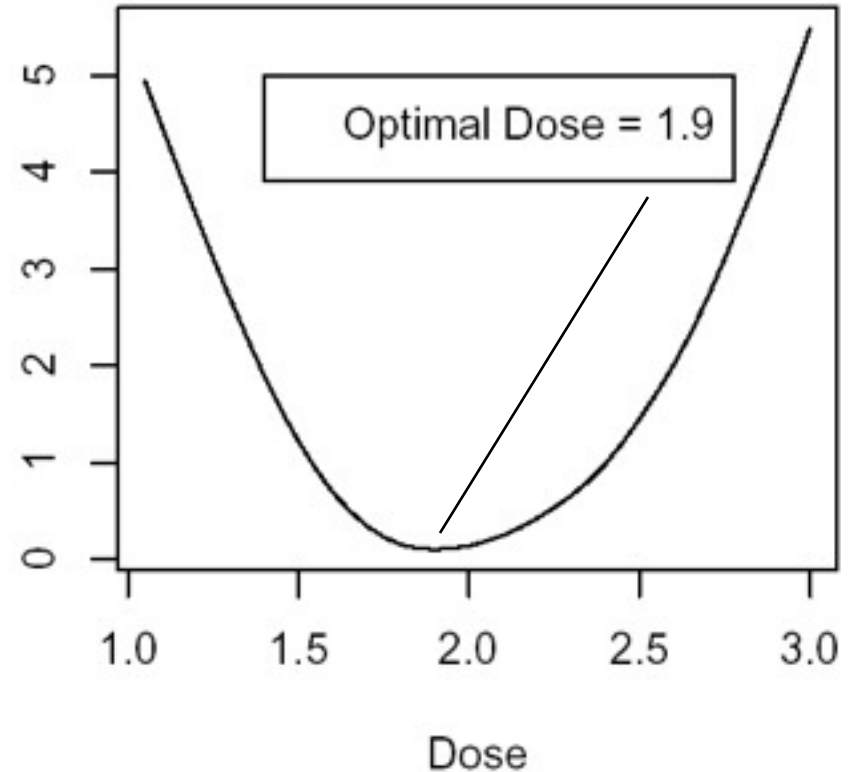


# Optimal Dose w.r.t. Posterior

Loss Function



Expected Loss



# Summary

- **Mixture models**
  - flexible inference
- **DDP structure**
  - Categorical and continuous covariates
- **Optimal design for PK**
- **Currently evaluating our strategy against covariate-based dosing**

Спасибо